

ZKB Tracker Certificate Dynamic on Swiss Small Mid-Cap Basket

23.09.2016 - Open End | Swiss Security Code 32 771 399

Summary

This Summary is to be understood as an introduction to the present Final Terms. Any investment decision in relation to the products must be based on the information contained in the Base Prospectus and in these Final Terms in their entirety and not on the Summary. In particular, each investor should consider the risk factors contained in these Final Terms and in the Base Prospectus.

The Issuer can only be held liable for the content of this Summary if the Summary is misleading, incorrect or contradictory when read together with the other parts of the Final Terms and the Base Prospectus.

Information on the securities
Type of product: ZKB Tracker Certificate Dynamic SSPA Category: Tracker Certificate (1300, acc. to the Swiss Derivative Map) ISIN: CH0327713993 Symbol: CORUMZ Issuer: Zürcher Kantonalbank Underlying: Swiss Small Mid-Cap Basket Initial Fixing Date: From 9 September 2016 till 16 September 2016 Settlement Date: 23 September 2016 Final Fixing Date: --- (Open End) Redemption Date: --- (Open End) Type of settlement: cash
Information concerning the offer and admission to trading
Place of the offer: Switzerland Notional Amount/Denomination/Trading Units: CHF 10'000'000.00/CHF 1'000.00/1 Structured Product or multiples thereof Issue price: CHF 1'000.00 Selling restrictions: EEA, U.S.A./U.S. persons, United Kingdom, Guernsey Information on listing: Application to list on the SIX Swiss Exchange will be filed, the first provisory trading day will be 23 September 2016

New Issue

1. Product Description

Product Category/Name

Participation Product/Tracker Certificate (1300, according to the "Swiss Derivative Map" provided by the Swiss Structured Products Association)

CISA Notification

This is a Structured Product. It does not constitute a collective investment scheme within the meaning of the Swiss Federal Act on Collective Investment Schemes (CISA) and it is not subject to authorisation or supervision by FINMA. The issuer risk is borne by investors.

Investment Profile

The composition of the Underlying of this Structured Product is managed **dynamically** and **discretionary** over the course of its term. This ZKB Tracker Certificate Dynamic implements the actively managed Swiss Small and Mid-Cap strategy. The Investment Manager selects the stocks based on its qualified market opinion. Further information about the investment strategy – if available – can be obtained free of charge from Zürcher Kantonalbank, Bahnhofstrasse 9, 8001 Zürich, department IFSDS or by way of e-Mail address documentation@zkb.ch.

Title Universe

The Title Universe consists of Swiss equities. The maximum cash quote in CHF is 10%.

Rebalancing

The Investment Manager manages the portfolio on a discretionary basis.

Issuer

Zürcher Kantonalbank, Zurich

Rating of the Issuer

For Products issued by Zürcher Kantonalbank: Standard & Poor's AAA, Moody's Aaa, Fitch AAA

Lead Manager, Paying Agent, Exercise Agent and Calculation Agent	Zürcher Kantonalbank, Zurich
Investment Manager	CORUM Vermögensverwaltung AG, Zurich CORUM Vermögensverwaltung AG is not subject to the prudential supervision of the FINMA and is supervised by the Self-regulatory organisation (SRO) PolyReg General Self-Regulatory Organisation.
Symbol/ Swiss Security Code/ISIN	CORUMZ/ 32 771 399/CH0327713993
Notional Amount/Denomination/ Trading Units	CHF 10'000'000.00/CHF 1'000.00/1 Structured Product or multiples thereof
Number of Structured Products	Up to 10'000, with the right to increase
Issue Price	CHF 1'000.00 / 102.04% of Basket Value on the Initial Fixing Date
Currency	CHF

Underlying per Initial Fixing Date	Component	ISIN / Bloomberg	Related Exchange	*Currency / Initial Fixing Value	Weight in %	No. of Shares
	APG SGA SA	CH0019107025 /APGN SW	SIX Swiss Exchange	398.8673	4	0.098278
	BKW AG	CH0130293662 /BKW SW	SIX Swiss Exchange	45.9235	4	0.853593
	Comet Holding AG	CH0003825756 /COTN SW	SIX Swiss Exchange	854.8805	4	0.045854
	Daetwyler Holding AG	CH0030486770 /DAE SW	SIX Swiss Exchange	138.5367	4	0.282958
	Feintool International Holding AG	CH0009320091 /FTON SW	SIX Swiss Exchange	115.4484	4	0.339546
	Huber + Suhner AG	CH0030380734 /HUBN SW	SIX Swiss Exchange	63.5443	4	0.616892
	Interroll Holding Ltd	CH0006372897 /INRN SW	SIX Swiss Exchange	1032.3724	4	0.037971
	Komax Holding AG	CH0010702154 /KOMN SW	SIX Swiss Exchange	235.7582	4	0.166272
	Looser Holding AG	CH0026205861 /LOHN SW	SIX Swiss Exchange	82.5433	4	0.474902
	Partners Group Holding AG	CH0024608827 /PGHN SW	SIX Swiss Exchange	474.2175	4	0.082662
	Siegfried Holding AG	CH0014284498 /SFZN SW	SIX Swiss Exchange	210.3693	4	0.186339
	Sika Ltd	CH0000587979 /SIK VX	SIX Swiss Exchange	4687.1238	4	0.008363
	BB Biotech AG	CH0038389992 /BION SW	SIX Swiss Exchange	48.7074	4	0.804806
	Dufry AG	CH0023405456 /DUFN VX	SIX Swiss Exchange	116.3806	4	0.336826
	Helvetia Holding Ltd	CH0012271687 /HELN SW	SIX Swiss Exchange	498.4830	4	0.078639
	Logitech International SA	CH0025751329 /LOGN SW	SIX Swiss Exchange	21.0631	4	1.861075
	Metall Zug Corp.	CH0039821084 /METN SW	SIX Swiss Exchange	3122.9607	4	0.012552
	ALSO Holding AG	CH0024590272 /ALSN SW	SIX Swiss Exchange	84.1955	4	0.465583
	SFS Group AG	CH0239229302 /SFSN SW	SIX Swiss Exchange	73.6499	4	0.532248
	Carlo Cavazzi Holding AG	CH0011003594 /GAV SW	SIX Swiss Exchange	235.0078	2.5	0.104252
	Kardex AG	CH0100837282 /KARN SW	SIX Swiss Exchange	93.6482	2.5	0.261617
	Lem Holding SA	CH0022427626 /LEHN SW	SIX Swiss Exchange	1089.0260	2.5	0.022497
	Jungfraubahn Holding AG	CH0017875789 /JFN SW	SIX Swiss Exchange	100.1152	2.5	0.244718
	Cash CHF	CH0002748082 /CHF	other	1	10	98.00
	HOCHDORF Holding AG	CH0024666528 /HOCN SW	SIX Swiss Exchange	238.4151	4	0.164419

* Local taxes, transactions fees and foreign commissions are, if applicable, included in the Initial Fixing Value of each component and are thus borne by the holders of the Structured Product. This applies particularly, though not exclusively, when exercising rights associated with the Structured Product and/or a Rebalancing.

Basket Value

CHF 980.00 on Initial Fixing Date

The terms and conditions of the present product have been adjusted due to Corporate Actions, refer to table Corporate Actions.

Ratio

1 ZKB Tracker Certificate Dynamic represents 1 Underlying

Dividend Payments	No dividends will be paid out. The dividend as a whole will be reinvested into the Structured Product in the form of a net dividend. The effective dividend payment will get wholly (less non-reclaimable taxes) reinvested in the corresponding components of the Underlying.	
Initial Fixing Date	From 9 September 2016 till 16 September 2016	
Settlement Date	23 September 2016	
Early Redemption Right of the Issuer	The Issuer has the right to call the Structured Product for Early Redemption each year per 15 December (Exercise Date) which has to be announced at least 20 banking days in advance without any reasons (for the first time per 15 December 2016, modified following). The information to the holder of the Structured Product will be published by the SIX Swiss Exchange.	
Initial Fixing Level	From 9 September 2016 till 16 September 2016, Net price of the components of the Underlying on a best effort basis (the Issuer has the right to expand the Initial Fixing period if required by current market conditions e.g. liquidity) Local taxes, transactions fees and foreign commissions are included in the Initial Fixing Value of each component if applicable.	
Redemption Method	On Exercise Date, investors receive in cash for every certificate 100% of the Underlying as defined on the Final Fixing Date and according to the following formula: $\sum_{i=1}^N S_{i,T} \times W_{i,T} - \text{Fees}$ where $S_{i,T}$ = Value of the Component i of the Underlying on Final Fixing Date $W_{i,T}$ = Weighting of the Component i of the Underlying (number of Shares) on Final Fixing Date Fees = Annual Fee T = Final Fixing Date If capital events that were unknown at the time of issue take place during the life of the ZKB Tracker Certificate Dynamic, they shall be taken into account by adjusting the weighting of the affected Component of the Underlying accordingly.	
Listing	Application to list on the SIX Swiss Exchange will be filed, the first provisory trading day will be 23 September 2016.	
Annual Fee	1.50% p.a. The Annual Fee will be charged based on the value of the Structured Product and is pro rata temporis included in the trading price. Of the Annual Fee, the Calculation Agent receives 0.45% p.a. and the Investment Manager receives 1.05% p.a. for their relevant duties.	
Clearing House	SIX SIS AG/Euroclear/Clearstream	
Total Expense Ratio (TER) / Distribution fees	A TER - in the sense implied by the definition of the Swiss Funds & Asset Management Association - of 1.70% p.a. is pursued. The TER includes production and distribution fees charged to the Structured Product. The TER includes one-off costs spread over the product's entire term (respectively 10 years in case of open ended products). Any risk and transaction costs, such as in the form of bid-ask spreads on options, are not taken into account in the TER. Distribution fees in the form of a discount on the issue price, reimbursement of a part of the issue price or other one-off and/or periodic charges may have been paid to one or several distribution partners of this Structured Product. Any distribution fees are included in the TER and may amount up to 0.17% p.a.	
Sales: 044 293 66 65	SIX Telekurs: 85,ZKB Internet: www.zkb.ch/strukturierteprodukte	Reuters: ZKBSTRUCT Bloomberg: ZKBY <go>
Key Elements of the Product	In terms of value, buying a ZKB Tracker Certificate Dynamic is equivalent to buying the Underlying less any Fees. A single, low-cost transaction gives investors the opportunity to participate fully in the performance of the Underlying. Dividend Payments of underlying components in the basket are paid out to the investor by means of Dividend reinvestment in the Underlying. Redemption is based on the weighted value of Underlying components on the Exercise Date.	

Taxes

The Issuer reports the taxable factors (change to previous year's value) divided in the components income and capital gain to the Swiss Federal Tax Administration on 31 October** each year. The income part is subject to Swiss income tax for private investors with Swiss tax domicile as per reporting date. The capital gain part is not subject to Swiss income tax.

The product is not subject to Swiss withholding tax. The Federal securities transfer stamp tax is not levied on secondary market transactions. For Swiss paying agents this product is not subject to the EU taxation of savings income (SIX Telekurs EU-Tax classification code: 9, "out of scope").

The information above is a summary only of the Issuer's understanding of current law and practice in Switzerland relating to the taxation of Structured Products. The relevant tax law and practice may change. The Issuer does not assume any liability in connection with the above information. The tax information only provides a general overview and can not substitute the personal tax advice to the investor.

Documentation

This document is a non-binding English translation of the Final Terms (Endgültige Bedingungen) published in German and constituting the Final Terms in accordance with article 45 of the Federal Act on Financial Services (FinSA). The English language translation is provided for convenience only.

The binding German version of these Final Terms supplements the Base Prospectus approved by the SIX Swiss Exchange and published in German by the Issuer on 16 November 2020.

These Final Terms constitute a Simplified prospectus pursuant to article 5 para. 2 CISA in the version dated 1 March 2013. Together with the Base Prospectus (and together with any supplements) these Final Terms form the product documentation for this issue.

If this Product was the first time issued under the Base Prospectus of 16 November 2020, these Final Terms must be read in conjunction with the General Terms of the Securities (Allgemeine Bedingungen der Derivate), the Additional Terms and the Information on the Underlyings in the Base Prospectus of 16 November 2020. If this Product has been issued prior to the date of the Base Prospectus of 16 November 2020, these Final Terms shall be read in conjunction with the Base Prospectus of 16 November 2020 and together with the Existing Terms of the Products from the version of the Issuance Programme or Base Prospectus in force at the time of issuance that has been incorporated by reference in this Base Prospectus.

The Base Prospectus of the Issuer dated 16 November 2020 will cease to be valid on 16 November 2021. From and including this date, these Final Terms must be read together with the latest valid version of the Base Prospectus of the Issuer (including the information incorporated by reference into the latest valid version of the Base Prospectus from the Base Prospectus under which the Products the first time were issued), which follows the Base Prospectus of 16 November 2020.

Except as otherwise defined in these Final Terms, the terms used in these Final Terms have the meaning given to them in the Base Prospectus. In case of discrepancies between information or the provisions in these Final Terms and those in the Base Prospectus, the information and provisions in these Final Terms shall prevail. In the event of a listing of the products, the product documentation will be adapted, if and to the extent necessary, in accordance with the requirements of the relevant exchange. The present products will be issued in the form of uncertificated securities (Wertrechte) and registered as book-entry securities (Bucheffekten) with SIX SIS AG. Investors have no right to require the issuance of any certificates or any proof of evidence for the products.

These Final Terms and the Base Prospectus can be ordered free of charge at Zürcher Kantonalbank, Bahnhofstrasse 9, 8001 Zurich, dept. VRIE or by e-mail at documentation@zkb.ch. They are also available on <https://www.zkb.ch/finanzinformationen>.

Information on the Underlying

Information on the performance of the Underlying / a component of the Underlying is publicly available on www.bloomberg.com. Current annual reports are published on the website of the respective business entity. The transfer of the Underlying / a component of the Underlying is conducted in accordance with their respective statutes.

Notices

Any notice by the Issuer in connection with these Structured Products, in particular any notice in connection with modifications of the terms and conditions will be validly published on the website <https://zkb-finance.mdgms.com/products/stp/index.html> under the relevant Structured Product. The Swiss security code search button will lead you directly to the relevant Structured Product. The notices will be published in accordance with the rules issued by SIX Swiss Exchange for IBL (Internet Based Listing) on the website <https://www.six-exchange-regulation.com/en/home/publications/official-notices.html>

Governing Law/Jurisdiction

Swiss Law/Zurich 1

2. Profit and Loss Expectations after Year 1

Profit and Loss Expectations after Year 1

ZKB Tracker Certificate Dynamic

Basket		Redemption	
Value	Percent	ZKB Tracker Certificate Dynamic	Performance %
CHF 392.00	-60.00%	CHF 386.12	-61.39%
CHF 588.00	-40.00%	CHF 579.18	-42.08%
CHF 784.00	-20.00%	CHF 772.24	-22.78%
CHF 1000.00	+2.04%	CHF 985.00	-1.50%
CHF 1176.00	+20.00%	CHF 1158.36	15.84%
CHF 1372.00	+40.00%	CHF 1351.42	35.14%
CHF 1568.00	+60.00%	CHF 1544.48	54.45%

Source: Zürcher Kantonalbank

The performance of the ZKB Tracker Certificate Dynamic is analog to the performance of the Underlying less any Fees.

The figures in the table above are valid after Year 1 only and cannot be considered as a price indication for the present Structured Product during its lifetime. The price quoted in the secondary market can differ substantially from the table above.

3. Material Risks for Investors

Credit Risk Relating to Issuer

Obligations under these Structured Products constitute direct, unconditional and unsecured obligations of the Issuer and rank pari passu with other direct, unconditional and unsecured obligations of the Issuer. The value of the Structured Product does not only depend on the performance of the Underlying and other developments in the financial markets, but also on the solvency of the Issuer, which may change during the term of this Structured Product.

Specific Product Risks

Structured Products are complex financial instruments, which entail considerable risks and, accordingly, are only suitable for investors who have the requisite knowledge and experience and understand thoroughly the risks connected with an investment in these structured products and are capable of bearing the economic risks.

ZKB Tracker Certificate Dynamic is an investment product whose price fluctuates to the same degree as the Underlying less any Fees. Depending on price trends, the price of a ZKB Tracker Certificate Dynamic could fall below the Issue Price. The risk profile of the ZKB Tracker Certificate Dynamic corresponds to that of the Underlying. The ZKB Tracker Certificate Dynamic is denominated in CHF. If the investor's reference currency differs from the CHF, the investor bears the risk between the CHF and his reference currency.

4. Additional Terms

Modifications

If an Extraordinary Event as described in Section IV of the Issuance Programme occurs in relation to the Underlying/a component of the Underlying or if any other extraordinary event (force majeure) occurs, which makes it impossible or particularly cumbersome for the Issuer, to fulfill its obligations under the Structured Products or to calculate the value of the Structured Products, the Issuer shall at its own discretion take all the necessary actions and, if necessary may modify the terms and conditions of these Structured Products at its own discretion in such way, that the economic value of the Structured Products after occurrence of the extraordinary event corresponds, to the extent possible, to the economic value of the Structured Products prior to the occurrence of the extraordinary event. Specific modification rules for certain types of Underlyings stated in Section IV of the Issuance Programme shall prevail. If the Issuer determines, for whatever reason, that an adequate modification is not possible, the Issuer has the right to redeem the Structured Products early.

Market Disruptions

Compare specific provisions in the Issuance Programme.

Selling Restrictions

The selling restrictions contained in the Issuance Programme are applicable (EEA, U.S.A./U.S. persons, Guernsey).

In particular must this publication and the information contained within not be distributed and / or redistributed, used or relied upon, by any person (whether individual or entity) who may be a US person under Regulation S under the US Securities Act of 1933. US persons include any US resident; any corporation, company, partnership or other entity organized under any law of the United States; and other categories set out in Regulation S.

The Issuer has not undertaken any actions to permit the public offering of the Structured Products or the possession or the distribution of any document produced in connection with the issuance of the Structured Products in any jurisdiction other than Switzerland. The distribution of these Final Terms or other documents produced in connection with the issuance of the Structured Products and the offering, sale and delivery of the Structured Products in certain jurisdictions may be restricted by law. Persons, which have received these Final Terms or any other documents produced in connection with the issuance of the Structured Products, such as the Issuance Programme, Termsheets, marketing or other selling material, are required by the Issuer to inform themselves about and to observe any such restrictions.

Prudential Supervision

As a bank within the meaning of the Swiss Federal Act on Banks and Savings Banks (BankG; SR 952.0) and a securities dealer within the meaning of the Swiss Federal Act on Securities Exchanges and Securities Trading (BEHG; SR 954.1), Zürcher Kantonalbank is subject to the prudential supervision of FINMA, Laupenstrasse 27, CH-3003 Bern, <http://www.finma.ch>.

Recording of Telephone Conversations

Investors are reminded that telephone conversations with trading or sales units of Zürcher Kantonalbank are recorded. Investors, engaging in telephone conversations with these units provide their tacit consent to the recording of their conversations.

Material Changes

Since the end of the last financial year or the date of the interim financial statements, there have been no material changes in the assets, financial or revenue position of the Issuer.

Responsibility for the Final Terms

Zürcher Kantonalbank, Zurich, assumes responsibility for the content of these Final Terms and hereby declares that, to its knowledge, the information contained in these Final Terms is correct and no material circumstances have been omitted.

Zurich, 12 August 2016, last update on 5 January 2021

****Change per 4 September 2017:**

old: 15 December

new: 31 October

Underlying on 04 01 2021

Component	ISIN / Bloomberg	Related Exchange	*Currency / Initial Fixing Value	Weight in %	No. of Shares
ALSO Holding AG	CH0024590272 /ALSN SE	SIX Swiss Exchange	CHF 254.5000	4.88	0.298447
Arbonia AG	CH0110240600 /ARBN SE	SIX Swiss Exchange	CHF 14.1758	5.00	5.492809
Bachem Holding AG	CH0012530207 /BANB SE	SIX Swiss Exchange	CHF 409.5000	3.07	0.116576
Bell AG	CH0315966322 /BELL SE	SIX Swiss Exchange	CHF 241.5000	3.36	0.216811
BKW AG	CH0130293662 /BKW SE	SIX Swiss Exchange	CHF 102.3451	5.00	0.760806
Bobst Group SA	CH0012684657 /BOBN SE	SIX Swiss Exchange	CHF 54.0066	5.00	1.441765
Burckhardt Compression Holding AG	CH0025536027 /BCHN SE	SIX Swiss Exchange	CHF 312.7181	5.00	0.248994
Burkhalter Holding AG	CH0212255803 /BRKN SE	SIX Swiss Exchange	CHF 66.0000	2.32	0.546823
Calida Holding AG	CH0126639464 /CALN SE	SIX Swiss Exchange	CHF 31.7245	2.50	1.227204
Cash CHF	CH0002748082 /CHF	other	CHF 1.0000	3.23	50.335349
Clariant AG	CH0012142631 /CLN SE	SIX Swiss Exchange	CHF 18.6800	3.54	2.947773
Comet Holding AG	CH0360826991 /COTN SE	SIX Swiss Exchange	CHF 197.0046	5.00	0.395244
Conzzeta Inc	CH0244017502 /CON SE	SIX Swiss Exchange	CHF 1110.0000	3.12	0.043729
Flughafen Zuerich AG	CH0319416936 /FHZN SE	SIX Swiss Exchange	CHF 159.8121	5.00	0.487227
Gurit Holding AG	CH0008012236 /GUR SE	SIX Swiss Exchange	CHF 2554.6168	5.00	0.030480
Huber + Suhner AG	CH0030380734 /HUBN SE	SIX Swiss Exchange	CHF 71.6000	2.28	0.496361
Interroll Holding Ltd	CH0006372897 /INRN SE	SIX Swiss Exchange	CHF 2715.0000	5.11	0.029307
Kardex AG	CH0100837282 /KARN SE	SIX Swiss Exchange	CHF 196.6000	3.70	0.293122
Logitech International SA	CH0025751329 /LOGN SE	SIX Swiss Exchange	CHF 87.4000	3.12	0.556295
Mobilezone Holding AG	CH0276837694 /MOZN SE	SIX Swiss Exchange	CHF 10.0600	2.20	3.402824
Peach Property Group AG	CH0118530366 /PEAN SE	SIX Swiss Exchange	CHF 46.9000	5.08	1.688333
Rieter Holding AG	CH0003671440 /RIEN SE	SIX Swiss Exchange	CHF 96.5738	5.00	0.806273
Sensirion Holding AG	CH0406705126 /SENS SE	SIX Swiss Exchange	CHF 59.2000	5.01	1.317833
SIG Combibloc Group AG	CH0435377954 /SIGN SE	SIX Swiss Exchange	CHF 20.4400	4.53	3.447857
Temenos AG	CH0012453913 /TEMN SE	SIX Swiss Exchange	CHF 123.4500	2.96	0.373461

* Local taxes, transactions fees and foreign commissions are, if applicable, included in the Initial Fixing Value of each component and are thus borne by the holders of the Structured Product. This applies particularly, though not exclusively, when exercising rights associated with the Structured Product and/or a Rebalancing.

Corporate Actions

Date	Underlying	Action		
		Rebalancing on 19.09.2016	No of Shares old	No of Shares new
19.09.2016	ALSO Holding AG	Rebalancing	0.465583	0.465585
19.09.2016	APG SGA SA	Rebalancing	0.098278	0.098278
19.09.2016	BB Biotech AG	Rebalancing	0.804806	0.804804
19.09.2016	Bell AG	Buy	-	0.093035
19.09.2016	BKW AG	Rebalancing	0.853593	0.853589
19.09.2016	Burkhalter Holding AG	Buy	-	0.302628
19.09.2016	Carlo Cavazzi Holding AG	Rebalancing	0.104252	0.104252
19.09.2016	Cash CHF	Rebalancing	98.00	66.086503
19.09.2016	Comet Holding AG	Rebalancing	0.045854	0.045854
19.09.2016	Daetwyler Holding AG	Rebalancing	0.282958	0.282961
19.09.2016	Dufry AG	Rebalancing	0.336826	0.336826
19.09.2016	Feintool International Holding AG	Rebalancing	0.339546	0.339545
19.09.2016	Helvetia Holding Ltd	Rebalancing	0.078639	0.078640
19.09.2016	HOCHDORF Holding AG	Rebalancing	0.164419	0.164418
19.09.2016	Huber + Suhner AG	Rebalancing	0.616892	1.244584
19.09.2016	Interroll Holding Ltd	Rebalancing	0.037971	0.037971
19.09.2016	Jungfraubahn Holding AG	Rebalancing	0.244718	0.244716
19.09.2016	Kardex AG	Rebalancing	0.261617	0.261616
19.09.2016	Komax Holding AG	Rebalancing	0.166272	0.166271
19.09.2016	Lem Holding SA	Rebalancing	0.022497	0.022497
19.09.2016	Logitech International SA	Rebalancing	1.861075	1.861093
19.09.2016	Looser Holding AG	Sell	0.474902	-
19.09.2016	Metall Zug Corp.	Rebalancing	0.012552	0.012552
19.09.2016	Partners Group Holding AG	Rebalancing	0.082662	0.082663
19.09.2016	SFS Group AG	Rebalancing	0.532248	0.532252
19.09.2016	Siegfried Holding AG	Rebalancing	0.186339	0.186338
19.09.2016	Sika Ltd	Sell	0.008363	-
		Rebalancing on 30.09.2016	No of Shares old	No of Shares new
30.09.2016	AFG Arbonia-Forster Holding AG	Buy	-	2.681057
30.09.2016	ALSO Holding AG	Rebalancing	0.465585	0.465585
30.09.2016	APG SGA SA	Rebalancing	0.098278	0.098278
30.09.2016	BB Biotech AG	Rebalancing	0.804804	0.804804
30.09.2016	Bell AG	Rebalancing	0.093035	0.093035
30.09.2016	BKW AG	Rebalancing	0.853589	0.853589
30.09.2016	Bobst Group SA	Buy	-	0.733455
30.09.2016	Burkhalter Holding AG	Rebalancing	0.302628	0.302628
30.09.2016	Carlo Cavazzi Holding AG	Rebalancing	0.104252	0.169936
30.09.2016	Cash CHF	Rebalancing	66.086503	37.140994
30.09.2016	Comet Holding AG	Rebalancing	0.045854	0.045854
30.09.2016	Daetwyler Holding AG	Rebalancing	0.282961	0.282961
30.09.2016	Dufry AG	Rebalancing	0.336826	0.336826
30.09.2016	Feintool International Holding AG	Rebalancing	0.339545	0.339545
30.09.2016	Helvetia Holding Ltd	Rebalancing	0.078640	0.078640
30.09.2016	HOCHDORF Holding AG	Sell	0.164418	-
30.09.2016	Huber + Suhner AG	Rebalancing	1.244584	1.244584
30.09.2016	Interroll Holding Ltd	Rebalancing	0.037971	0.037971
30.09.2016	Jungfraubahn Holding AG	Sell	0.244716	-
30.09.2016	Kardex AG	Rebalancing	0.261616	0.261616
30.09.2016	Komax Holding AG	Rebalancing	0.166271	0.166271
30.09.2016	Lem Holding SA	Rebalancing	0.022497	0.022497
30.09.2016	Logitech International SA	Rebalancing	1.861093	1.909534
30.09.2016	Metall Zug Corp.	Rebalancing	0.012552	0.012552
30.09.2016	Partners Group Holding AG	Rebalancing	0.082663	0.082663
30.09.2016	SFS Group AG	Rebalancing	0.532252	0.532252
30.09.2016	Siegfried Holding AG	Rebalancing	0.186338	0.186338
		Rebalancing on 17.11.2016	No of Shares old	No of Shares new
17.11.2016	AFG Arbonia-Forster Holding AG	Rebalancing	2.681057	2.681057
17.11.2016	ALSO Holding AG	Rebalancing	0.465585	0.465585
17.11.2016	APG SGA SA	Rebalancing	0.098278	0.098278
17.11.2016	BB Biotech AG	Rebalancing	0.804804	0.804804
17.11.2016	Bell AG	Rebalancing	0.093035	0.093035
17.11.2016	BKW AG	Rebalancing	0.853589	0.853589
17.11.2016	Bobst Group SA	Rebalancing	0.733455	1.466910
17.11.2016	Burkhalter Holding AG	Rebalancing	0.302628	0.302628
17.11.2016	Carlo Cavazzi Holding AG	Rebalancing	0.169936	0.169936
17.11.2016	Cash CHF	Rebalancing	37.140994	14.334078
17.11.2016	Comet Holding AG	Rebalancing	0.045854	0.045854
17.11.2016	Daetwyler Holding AG	Rebalancing	0.282961	0.282961
17.11.2016	Dufry AG	Rebalancing	0.336826	0.336826
17.11.2016	Feintool International Holding AG	Rebalancing	0.339545	0.339545

Corporate Actions

Date	Underlying	Action		
17.11.2016	Helvetia Holding Ltd	Rebalancing	0.078640	0.078640
17.11.2016	Huber + Suhner AG	Rebalancing	1.244584	1.244584
17.11.2016	Interroll Holding Ltd	Rebalancing	0.037971	0.037971
17.11.2016	Kardex AG	Rebalancing	0.261616	0.261616
17.11.2016	Komax Holding AG	Rebalancing	0.166271	0.166271
17.11.2016	Lem Holding SA	Sell	0.022497	-
17.11.2016	Logitech International SA	Rebalancing	1.909534	1.909534
17.11.2016	Metall Zug Corp.	Rebalancing	0.012552	0.012552
17.11.2016	Partners Group Holding AG	Rebalancing	0.082663	0.082663
17.11.2016	SFS Group AG	Rebalancing	0.532252	0.532252
17.11.2016	Siegfried Holding AG	Rebalancing	0.186338	0.186338
		Rebalancing on 22.11.2016	No of Shares old	No of Shares new
22.11.2016	AFG Arbonia-Forster Holding AG	Rebalancing	2.681057	2.681057
22.11.2016	ALSO Holding AG	Rebalancing	0.465585	0.465585
22.11.2016	APG SGA SA	Rebalancing	0.098278	0.098278
22.11.2016	BB Biotech AG	Rebalancing	0.804804	0.804804
22.11.2016	Bell AG	Rebalancing	0.093035	0.093035
22.11.2016	BKW AG	Rebalancing	0.853589	0.853589
22.11.2016	Bobst Group SA	Rebalancing	1.466910	1.466910
22.11.2016	Burkhalter Holding AG	Rebalancing	0.302628	0.302628
22.11.2016	Carlo Cavazzi Holding AG	Rebalancing	0.169936	0.169936
22.11.2016	Comet Holding AG	Rebalancing	0.045854	0.045854
22.11.2016	Daetwyler Holding AG	Rebalancing	0.282961	0.282961
22.11.2016	Dufry AG	Rebalancing	0.336826	0.336826
22.11.2016	Feintool International Holding AG	Rebalancing	0.339545	0.339545
22.11.2016	Helvetia Holding Ltd	Rebalancing	0.078640	0.078640
22.11.2016	Huber + Suhner AG	Rebalancing	1.244584	0.695248
22.11.2016	Interroll Holding Ltd	Rebalancing	0.037971	0.037971
22.11.2016	Kardex AG	Rebalancing	0.261616	0.261616
22.11.2016	Komax Holding AG	Rebalancing	0.166271	0.166271
22.11.2016	Logitech International SA	Rebalancing	1.909534	1.909534
22.11.2016	Metall Zug Corp.	Rebalancing	0.012552	0.012552
22.11.2016	Partners Group Holding AG	Rebalancing	0.082663	0.082663
22.11.2016	SFS Group AG	Rebalancing	0.532252	0.532252
22.11.2016	Siegfried Holding AG	Rebalancing	0.186338	0.186338
22.11.2016	VP Bank AG	Buy	-	0.302543
14.12.2016	Arbonia AG	Change of Identification on 14.12.2016	BB Symbol old AFGN SW	BB Symbol new ARBN SW
14.12.2016	Arbonia AG	Change of Identification on 14.12.2016	Name old AFG Arbonia-Forster Holding AG	Name new Arbonia AG
14.12.2016	Arbonia AG	Change of Identification on 14.12.2016	Name short old AFG Arbonia rs	Name short new Arbonia AG rs
		Rebalancing on 09.01.2017	No of Shares old	No of Shares new
09.01.2017	ALSO Holding AG	Rebalancing	0.465585	0.466682
09.01.2017	APG SGA SA	Rebalancing	0.098278	0.098148
09.01.2017	Arbonia AG	Rebalancing	2.681057	2.676023
09.01.2017	BB Biotech AG	Rebalancing	0.804804	0.799794
09.01.2017	Bell AG	Rebalancing	0.093035	0.092913
09.01.2017	BKW AG	Rebalancing	0.853589	0.854594
09.01.2017	Bobst Group SA	Rebalancing	1.466910	1.505989
09.01.2017	Burkhalter Holding AG	Rebalancing	0.302628	0.296205
09.01.2017	Carlo Cavazzi Holding AG	Rebalancing	0.169936	0.172387
09.01.2017	Cash CHF	Rebalancing	14.334078	32.629126
09.01.2017	Comet Holding AG	Rebalancing	0.045854	0.045860
09.01.2017	Daetwyler Holding AG	Rebalancing	0.282961	0.280515
09.01.2017	Dufry AG	Sell	0.336826	-
09.01.2017	Feintool International Holding AG	Rebalancing	0.339545	0.338907
09.01.2017	Helvetia Holding Ltd	Rebalancing	0.078640	0.078492
09.01.2017	Huber + Suhner AG	Rebalancing	0.695248	0.685945
09.01.2017	Interroll Holding Ltd	Rebalancing	0.037971	0.037631
09.01.2017	Kardex AG	Rebalancing	0.261616	0.266123
09.01.2017	Komax Holding AG	Rebalancing	0.166271	0.163358
09.01.2017	Logitech International SA	Rebalancing	1.909534	1.920810
09.01.2017	Metall Zug Corp.	Rebalancing	0.012552	0.012331
09.01.2017	Partners Group Holding AG	Sell	0.082663	-
09.01.2017	Peach Property Group AG	Buy	-	2.068404
09.01.2017	SFS Group AG	Rebalancing	0.532252	0.533485
09.01.2017	Siegfried Holding AG	Rebalancing	0.186338	0.185988
09.01.2017	VP Bank AG	Rebalancing	0.302543	0.302524

Corporate Actions

Date	Underlying	Action		
09.01.2017	Zehnder Group AG	Buy	-	0.998297
		Rebalancing on 17.01.2017	No of Shares old	No of Shares new
17.01.2017	ALSO Holding AG	Rebalancing	0.466682	0.466682
17.01.2017	APG SGA SA	Rebalancing	0.098148	0.098148
17.01.2017	Arbonia AG	Rebalancing	2.676023	2.676023
17.01.2017	BB Biotech AG	Sell	0.799794	-
17.01.2017	Bell AG	Rebalancing	0.092913	0.092913
17.01.2017	BKW AG	Rebalancing	0.854594	0.854594
17.01.2017	Bobst Group SA	Rebalancing	1.505989	1.505989
17.01.2017	Bossard Holding AG	Buy	-	0.281664
17.01.2017	Burkhalter Holding AG	Rebalancing	0.296205	0.296205
17.01.2017	Carlo Cavazzi Holding AG	Rebalancing	0.172387	0.172387
17.01.2017	Cash CHF	Rebalancing	32.629126	32.996952
17.01.2017	Comet Holding AG	Rebalancing	0.045860	0.045860
17.01.2017	Daetwyler Holding AG	Rebalancing	0.280515	0.280515
17.01.2017	Feintool International Holding AG	Rebalancing	0.338907	0.338907
17.01.2017	Helvetia Holding Ltd	Rebalancing	0.078492	0.078492
17.01.2017	Huber + Suhner AG	Rebalancing	0.685945	0.685945
17.01.2017	Interroll Holding Ltd	Rebalancing	0.037631	0.037631
17.01.2017	Kardex AG	Rebalancing	0.266123	0.266123
17.01.2017	Komax Holding AG	Rebalancing	0.163358	0.163358
17.01.2017	Metall Zug Corp.	Rebalancing	0.012331	0.012331
17.01.2017	Peach Property Group AG	Rebalancing	2.068404	2.068404
17.01.2017	SFS Group AG	Rebalancing	0.533485	0.533485
17.01.2017	Siegfried Holding AG	Rebalancing	0.185988	0.185988
17.01.2017	VP Bank AG	Rebalancing	0.302524	0.302524
17.01.2017	Zehnder Group AG	Rebalancing	0.998297	0.998297
		Rebalancing on 03.03.2017	No of Shares old	No of Shares new
03.03.2017	ALSO Holding AG	Rebalancing	0.466682	0.466682
03.03.2017	APG SGA SA	Rebalancing	0.098148	0.098148
03.03.2017	Arbonia AG	Rebalancing	2.676023	2.676023
03.03.2017	Bell AG	Rebalancing	0.092913	0.092913
03.03.2017	BKW AG	Rebalancing	0.854594	0.854594
03.03.2017	Bobst Group SA	Rebalancing	1.505989	0.732281
03.03.2017	Bossard Holding AG	Rebalancing	0.281664	0.281664
03.03.2017	Burkhalter Holding AG	Rebalancing	0.296205	0.296205
03.03.2017	Carlo Cavazzi Holding AG	Rebalancing	0.172387	0.172387
03.03.2017	Cash CHF	Rebalancing	32.996952	57.750596
03.03.2017	Comet Holding AG	Rebalancing	0.045860	0.045860
03.03.2017	Daetwyler Holding AG	Rebalancing	0.280515	0.280515
03.03.2017	Feintool International Holding AG	Rebalancing	0.338907	0.338907
03.03.2017	Helvetia Holding Ltd	Rebalancing	0.078492	0.078492
03.03.2017	Huber + Suhner AG	Rebalancing	0.685945	0.685945
03.03.2017	Interroll Holding Ltd	Rebalancing	0.037631	0.037631
03.03.2017	Kardex AG	Rebalancing	0.266123	0.266123
03.03.2017	Komax Holding AG	Rebalancing	0.163358	0.163358
03.03.2017	Logitech International SA	Rebalancing	1.920810	1.920810
03.03.2017	Metall Zug Corp.	Rebalancing	0.012331	0.012331
03.03.2017	Peach Property Group AG	Rebalancing	2.068404	4.369731
03.03.2017	SFS Group AG	Rebalancing	0.533485	0.533485
03.03.2017	Siegfried Holding AG	Rebalancing	0.185988	0.185988
03.03.2017	VP Bank AG	Rebalancing	0.302524	0.302524
03.03.2017	Zehnder Group AG	Rebalancing	0.998297	0.998297
		Rebalancing on 30.03.2017	Amount old	Amount new
30.03.2017	ALSO Holding AG	Rebalancing	0.466682	0.475035
30.03.2017	APG SGA SA	Rebalancing	0.098148	0.098148
30.03.2017	Arbonia AG	Rebalancing	2.676023	2.676023
30.03.2017	Bell AG	Rebalancing	0.092913	0.092913
30.03.2017	BKW AG	Rebalancing	0.854594	0.854594
30.03.2017	Bobst Group SA	Rebalancing	0.732281	0.732281
30.03.2017	Bossard Holding AG	Rebalancing	0.281664	0.281664
30.03.2017	Burkhalter Holding AG	Rebalancing	0.296205	0.296205
30.03.2017	Carlo Cavazzi Holding AG	Rebalancing	0.172387	0.172387
30.03.2017	Cash CHF	Rebalancing	57.750596	21.636410
30.03.2017	Comet Holding AG	Rebalancing	0.045860	0.045860
30.03.2017	Daetwyler Holding AG	Rebalancing	0.280515	0.284549
30.03.2017	Evolva Holding AG	Buy	-	60.261631
30.03.2017	Feintool International Holding AG	Rebalancing	0.338907	0.338907
30.03.2017	Helvetia Holding Ltd	Rebalancing	0.078492	0.078492
30.03.2017	Huber + Suhner AG	Rebalancing	0.685945	0.685945
30.03.2017	Interroll Holding Ltd	Rebalancing	0.037631	0.037631

Corporate Actions

Date	Underlying	Action		
30.03.2017	Kardex AG	Rebalancing	0.266123	0.266123
30.03.2017	Komax Holding AG	Rebalancing	0.163358	0.163358
30.03.2017	Logitech International SA	Rebalancing	1.920810	1.920810
30.03.2017	Metall Zug Corp.	Rebalancing	0.012331	0.012331
30.03.2017	Peach Property Group AG	Rebalancing	4.369731	4.369731
30.03.2017	SFS Group AG	Rebalancing	0.533485	0.533485
30.03.2017	Siegfried Holding AG	Rebalancing	0.185988	0.185988
30.03.2017	VP Bank AG	Rebalancing	0.302524	0.302524
30.03.2017	Zehnder Group AG	Rebalancing	0.998297	0.998297
		Rebalancing on 03.04.2017	Amount old	Amount new
03.04.2017	ALSO Holding AG	Rebalancing	0.475035	0.475035
03.04.2017	APG SGA SA	Rebalancing	0.098148	0.098148
03.04.2017	Arbonia AG	Rebalancing	2.676023	2.676023
03.04.2017	Ascom Holding AG	Buy	-	2.044010
03.04.2017	Bell AG	Rebalancing	0.092913	0.092913
03.04.2017	BKW AG	Rebalancing	0.854594	0.854594
03.04.2017	Bobst Group SA	Rebalancing	0.732281	0.732281
03.04.2017	Bossard Holding AG	Rebalancing	0.281664	0.281664
03.04.2017	Burkhalter Holding AG	Rebalancing	0.296205	0.296205
03.04.2017	Carlo Cavazzi Holding AG	Rebalancing	0.172387	0.172387
03.04.2017	Cash CHF	Rebalancing	21.636410	18.115321
03.04.2017	Comet Holding AG	Rebalancing	0.045860	0.045860
03.04.2017	Daetwyler Holding AG	Rebalancing	0.284549	0.284549
03.04.2017	Evolva Holding AG	Rebalancing	60.261631	60.261631
03.04.2017	Feintool International Holding AG	Rebalancing	0.338907	0.338907
03.04.2017	Helvetia Holding Ltd	Rebalancing	0.078492	0.078492
03.04.2017	Huber + Suhner AG	Rebalancing	0.685945	0.685945
03.04.2017	Interroll Holding Ltd	Rebalancing	0.037631	0.037631
03.04.2017	Kardex AG	Rebalancing	0.266123	0.266123
03.04.2017	Komax Holding AG	Rebalancing	0.163358	0.163358
03.04.2017	Logitech International SA	Rebalancing	1.920810	1.920810
03.04.2017	Metall Zug Corp.	Rebalancing	0.012331	0.012331
03.04.2017	Peach Property Group AG	Rebalancing	4.369731	4.369731
03.04.2017	SFS Group AG	Rebalancing	0.533485	0.533485
03.04.2017	Siegfried Holding AG	Rebalancing	0.185988	0.185988
03.04.2017	VP Bank AG	Rebalancing	0.302524	0.302524
03.04.2017	Zehnder Group AG	Sell	0.998297	-
26.04.2017	Comet Holding AG	Change of Identification on 26.04.2017	ISIN old CH0003825756	ISIN new CH0360826991
26.04.2017	Comet Holding AG	Stock Split on 26.04.2017	No. of Shares old 0.045860	No. of Shares new 0.463092
		Rebalancing on 27.04.2017	Amount old	Amount new
27.04.2017	ALSO Holding AG	Rebalancing	0.475035	0.475035
27.04.2017	APG SGA SA	Rebalancing	0.098148	0.098148
27.04.2017	Arbonia AG	Rebalancing	2.676023	2.676023
27.04.2017	Ascom Holding AG	Rebalancing	2.044010	2.135618
27.04.2017	Bell AG	Rebalancing	0.092913	0.094498
27.04.2017	BKW AG	Rebalancing	0.854594	0.854594
27.04.2017	Bobst Group SA	Rebalancing	0.732281	0.745148
27.04.2017	Bossard Holding AG	Rebalancing	0.281664	0.286556
27.04.2017	Burkhalter Holding AG	Rebalancing	0.296205	0.296205
27.04.2017	Carlo Cavazzi Holding AG	Rebalancing	0.172387	0.172387
27.04.2017	Cash CHF	Rebalancing	18.115321	23.754040
27.04.2017	Comet Holding AG	Rebalancing	0.463080	0.463080
27.04.2017	Daetwyler Holding AG	Rebalancing	0.284549	0.284549
27.04.2017	Evolva Holding AG	Rebalancing	60.261631	60.261631
27.04.2017	Feintool International Holding AG	Rebalancing	0.338907	0.344165
27.04.2017	Helvetia Holding Ltd	Sell	0.078492	-
27.04.2017	Huber + Suhner AG	Rebalancing	0.685945	0.698996
27.04.2017	Interroll Holding Ltd	Rebalancing	0.037631	0.037631
27.04.2017	Jungfraubahn Holding AG	Buy	-	0.352827
27.04.2017	Kardex AG	Rebalancing	0.266123	0.266123
27.04.2017	Komax Holding AG	Rebalancing	0.163358	0.163358
27.04.2017	Logitech International SA	Rebalancing	1.920810	1.920810
27.04.2017	Metall Zug Corp.	Rebalancing	0.012331	0.012331
27.04.2017	Peach Property Group AG	Rebalancing	4.369731	4.369731
27.04.2017	SFS Group AG	Rebalancing	0.533485	0.533485
27.04.2017	Siegfried Holding AG	Rebalancing	0.185988	0.187341
27.04.2017	VP Bank AG	Rebalancing	0.302524	0.302524
		Rebalancing on 19.05.2017	Amount old	Amount new
19.05.2017	ALSO Holding AG	Rebalancing	0.475035	0.475035

Corporate Actions

Date	Underlying	Action		
19.05.2017	APG SGA SA	Rebalancing	0.098148	0.098148
19.05.2017	Arbonia AG	Rebalancing	2.676023	2.676023
19.05.2017	Ascom Holding AG	Rebalancing	2.135618	2.135618
19.05.2017	Bell AG	Rebalancing	0.094498	0.094498
19.05.2017	BKW AG	Rebalancing	0.854594	0.879011
19.05.2017	Bobst Group SA	Rebalancing	0.745148	0.745148
19.05.2017	Bossard Holding AG	Rebalancing	0.286556	0.286556
19.05.2017	Burkhalter Holding AG	Rebalancing	0.296205	0.296205
19.05.2017	Carlo Cavazzi Holding AG	Rebalancing	0.172387	0.172387
19.05.2017	Cash CHF	Rebalancing	23.754040	31.264571
19.05.2017	Comet Holding AG	Rebalancing	0.463080	0.463080
19.05.2017	Daetwyler Holding AG	Sell	0.284549	-
19.05.2017	Evolva Holding AG	Rebalancing	60.261631	60.261631
19.05.2017	Feintool International Holding AG	Rebalancing	0.344165	0.344165
19.05.2017	Huber + Suhner AG	Rebalancing	0.698996	0.698996
19.05.2017	Hypothekarbank Lenzburg AG	Buy	-	0.008551
19.05.2017	Interroll Holding Ltd	Rebalancing	0.037631	0.038119
19.05.2017	Jungfraubahn Holding AG	Rebalancing	0.352827	0.352827
19.05.2017	Kardex AG	Rebalancing	0.266123	0.266123
19.05.2017	Komax Holding AG	Rebalancing	0.163358	0.167433
19.05.2017	Logitech International SA	Rebalancing	1.920810	1.920810
19.05.2017	Metall Zug Corp.	Rebalancing	0.012331	0.012547
19.05.2017	Peach Property Group AG	Rebalancing	4.369731	4.369731
19.05.2017	SFS Group AG	Rebalancing	0.533485	0.543021
19.05.2017	Siegfried Holding AG	Rebalancing	0.187341	0.187341
19.05.2017	VP Bank AG	Rebalancing	0.302524	0.314362
Rebalancing on 11.07.2017			Amount old	Amount new
11.07.2017	APG SGA SA	Rebalancing	0.098148	0.103035
11.07.2017	Burkhalter Holding AG	Rebalancing	0.296205	0.307784
11.07.2017	Cash CHF	Rebalancing	31.264571	45.809022
11.07.2017	Conzzeta Inc	Buy	-	0.040329
11.07.2017	Jungfraubahn Holding AG	Rebalancing	0.352827	0.359508
11.07.2017	Kardex AG	Rebalancing	0.266123	0.274471
11.07.2017	Metall Zug Corp.	Sell	0.012547	-
18.09.2017	Logitech International SA	Change of Identification on 18.09.2017	BB Symbol old LOGN SW	BB Symbol new LOGN VX
Rebalancing on 25.09.2017			Amount old	Amount new
25.09.2017	Carlo Cavazzi Holding AG	Rebalancing	0.172387	0.179615
25.09.2017	Cash CHF	Rebalancing	45.809022	68.135300
25.09.2017	Cham Paper Group Holding AG	Buy	-	0.069282
25.09.2017	Logitech International SA	Rebalancing	1.920810	1.953863
25.09.2017	SFS Group AG	Sell	0.543021	-
25.09.2017	Starrag Group Holding AG	Buy	-	0.219160
Rebalancing on 16.10.2017			Amount old	Amount new
16.10.2017	APG SGA SA	Sell	0.103035	-
16.10.2017	Cash CHF	Rebalancing	68.135300	46.460913
16.10.2017	Evolva Holding AG	Rebalancing	60.261631	122.156034
16.10.2017	Valora Holding AG	Buy	-	0.126293
31.10.2017	Evolva Holding AG	Rights Issue on 02.11.2017	No. of Shares old 122.156034	No. of Shares new 145.761009
Rebalancing on 16.11.2017			Amount old	Amount new
16.11.2017	Bobst Group SA	Sell	0.745148	-
16.11.2017	Bossard Holding AG	Sell	0.286556	-
16.11.2017	Cash CHF	Rebalancing	46.460913	134.540262
16.11.2017	Vetropack Holding SA	Buy	-	0.032972
23.11.2017	Valora Holding AG	Rights Issue on 23.11.2017	No. of Shares old 0.126293	No. of Shares new 0.127143
Rebalancing on 06.12.2017			Amount old	Amount new
06.12.2017	Burckhardt Compression Holding AG	Buy	-	0.119362
06.12.2017	Huber + Suhner AG	Sell	0.698996	-
06.12.2017	Peach Property Group AG	Rebalancing	4.369731	2.453024
06.12.2017	Tamedia AG	Buy	-	0.404272
Rebalancing on 15.12.2017			Amount old	Amount new
15.12.2017	Alpiq Holding Ltd	Buy	-	0.640885
15.12.2017	VP Bank AG	Sell	0.314362	-
Rebalancing on 11.01.2018			Amount old	Amount new
11.01.2018	Cash CHF	Rebalancing	134.540262	127.395560
11.01.2018	Tornos Holding Ltd	Buy	-	0.906263
Rebalancing on 16.01.2018			Amount old	Amount new

Corporate Actions

Date	Underlying	Action		
16.01.2018	Burckhardt Compression Holding AG	Rebalancing	0.119362	0.160174
16.01.2018	Cash CHF	Rebalancing	127.395560	129.250546
16.01.2018	Cham Paper Group Holding AG	Sell	0.069282	-
16.01.2018	Valora Holding AG	Rebalancing	0.127143	0.168403
		Rebalancing on 18.01.2018	Amount old	Amount new
18.01.2018	Bell AG	Sell	0.094498	-
18.01.2018	Cash CHF	Rebalancing	129.250546	69.529293
18.01.2018	Idorsia AG	Buy	-	1.504823
18.01.2018	Meyer Burger Technology AG	Buy	-	27.276955
		Rebalancing on 01.02.2018	Amount old	Amount new
01.02.2018	Cash CHF	Rebalancing	69.529293	12.204484
01.02.2018	Implenia AG	Buy	-	0.835501
		Rebalancing on 08.02.2018	Amount old	Amount new
08.02.2018	Burkhalter Holding AG	Sell	0.307784	-
08.02.2018	Cash CHF	Rebalancing	12.204484	14.177868
08.02.2018	Evolva Holding AG	Rebalancing	145.761009	240.865993
08.02.2018	Meyer Burger Technology AG	Rebalancing	27.276955	31.134143
		Rebalancing on 28.03.2018	Amount old	Amount new
28.03.2018	Cash CHF	Rebalancing	14.177868	12.547683
28.03.2018	MCH Group AG	Buy	-	0.286893
28.03.2018	Tornos Holding Ltd	Sell	0.906263	-
		Rebalancing on 13.04.2018	Amount old	Amount new
13.04.2018	Basilea Pharmaceutica AG	Buy	-	0.606201
13.04.2018	BKW AG	Sell	0.879011	-
13.04.2018	Cash CHF	Rebalancing	12.547683	26.279200
		Rebalancing on 04.05.2018	Amount old	Amount new
04.05.2018	Cash CHF	Rebalancing	26.279200	19.291640
04.05.2018	dorma+kaba Holding AG	Buy	-	0.054395
04.05.2018	Siegfried Holding AG	Rebalancing	0.187341	0.085765
		Rebalancing on 11.06.2018	Amount old	Amount new
11.06.2018	Basilea Pharmaceutica AG	Sell	0.606201	-
11.06.2018	Cash CHF	Rebalancing	19.291640	25.665411
11.06.2018	Gurit Holding AG	Buy	-	0.041630
		Rebalancing on 26.06.2018	Amount old	Amount new
26.06.2018	Cash CHF	Rebalancing	25.665411	65.406180
26.06.2018	Evolva Holding AG	Sell	240.865993	-
26.06.2018	Hypothekarbank Lenzburg AG	Sell	0.008844	-
26.06.2018	Leonteq AG	Buy	-	0.460793
26.06.2018	Orascom Development Holding Ltd	Buy	-	1.885426
		Rebalancing on 10.07.2018	Amount old	Amount new
10.07.2018	Cash CHF	Rebalancing	65.406180	59.415585
10.07.2018	Comet Holding AG	Sell	0.468350	-
10.07.2018	Leonteq AG	Rebalancing	0.460793	1.254363
24.07.2018	Leonteq AG	Capital Increase on 24.07.2018	No. of Shares old 1.254363	No. of Shares new 1.297281
		Rebalancing on 25.09.2018	Amount old	Amount new
25.09.2018	Carlo Cavazzi Holding AG	Rebalancing	0.179615	0.141799
25.09.2018	Georg Fischer Ltd	Buy	-	0.036368
25.09.2018	Implenia AG	Rebalancing	0.858159	0.640146
25.09.2018	MCH Group AG	Rebalancing	0.286893	0.761168
25.09.2018	Tamedia AG	Rebalancing	0.416606	0.195923
		Rebalancing on 01.10.2018	Amount old	Amount new
01.10.2018	Bobst Group SA	Buy	-	0.439914
01.10.2018	Carlo Cavazzi Holding AG	Sell	0.141799	-
01.10.2018	Cash CHF	Rebalancing	59.415585	6.397364
01.10.2018	Orascom Development Holding Ltd	Rebalancing	1.885426	3.571784
01.10.2018	Tornos Holding Ltd	Buy	-	2.084869
01.10.2018	Valora Holding AG	Rebalancing	0.175023	0.250423
		Rebalancing on 04.12.2018	Amount old	Amount new
04.12.2018	Basilea Pharmaceutica AG	Buy	-	0.616987
04.12.2018	dorma+kaba Holding AG	Sell	0.054395	-
04.12.2018	Idorsia AG	Sell	1.504823	-
04.12.2018	Landis+Gyr Group AG	Buy	-	0.511426
04.12.2018	Starrag Group Holding AG	Sell	0.223797	-
04.12.2018	Sulzer AG	Buy	-	0.328984
04.12.2018	Tornos Holding Ltd	Sell	2.084869	-
		Rebalancing on 17.01.2019	Amount old	Amount new
17.01.2019	Arbonia AG	Sell	2.676023	-
17.01.2019	Cash CHF	Rebalancing	6.397364	12.961937
17.01.2019	Peach Property Group AG	Rebalancing	2.453024	3.418139
17.01.2019	Tamedia AG	Sell	0.195923	-
17.01.2019	Temenos AG	Buy	-	0.323141
17.01.2019	Vetropack Holding SA	Rebalancing	0.033701	0.023643

Corporate Actions

Date	Underlying	Action		
		Rebalancing on 30.01.2019	Amount old	Amount new
30.01.2019	Bobst Group SA	Sell	0.439914	-
30.01.2019	Cash CHF	Rebalancing	12.961937	99.724065
30.01.2019	Interroll Holding Ltd	Rebalancing	0.038493	0.025538
30.01.2019	Meyer Burger Technology AG	Sell	31.134143	-
		Rebalancing on 27.03.2019	Amount old	Amount new
27.03.2019	Burkhalter Holding AG	Buy	-	0.510057
27.03.2019	Cash CHF	Rebalancing	99.724065	29.016813
27.03.2019	Huber + Suhner AG	Buy	-	0.476961
		Rebalancing on 13.05.2019	Amount old	Amount new
13.05.2019	ALSO Holding AG	Rebalancing	0.485432	0.244441
13.05.2019	Cash CHF	Rebalancing	29.016813	110.600115
13.05.2019	Interroll Holding Ltd	Rebalancing	0.025538	0.013003
13.05.2019	Logitech International SA	Rebalancing	1.983496	1.347298
		Rebalancing on 06.08.2019	Amount old	Amount new
06.08.2019	Ascom Holding AG	Rebalancing	2.230744	4.919632
06.08.2019	Cash CHF	Rebalancing	110.600115	84.434120
		Rebalancing on 05.09.2019	Amount old	Amount new
05.09.2019	Cash CHF	Rebalancing	84.434120	86.991934
05.09.2019	Georg Fischer Ltd	Rebalancing	0.036943	0.058880
05.09.2019	HIAG Immobilien Holding AG	Buy	-	0.343823
05.09.2019	Jungfraubahn Holding AG	Sell	0.369591	-
		Rebalancing on 11.09.2019	Amount old	Amount new
11.09.2019	BKW AG	Buy	-	0.522593
11.09.2019	Cash CHF	Rebalancing	86.991934	48.878818
		Rebalancing on 19.09.2019	Amount old	Amount new
19.09.2019	Alpiq Holding Ltd	Rebalancing	0.640885	0.640734
19.09.2019	ALSO Holding AG	Rebalancing	0.244441	0.244383
19.09.2019	Ascom Holding AG	Rebalancing	4.919632	4.918471
19.09.2019	Basilea Pharmaceutica AG	Rebalancing	0.616987	0.616841
19.09.2019	BKW AG	Rebalancing	0.522593	0.522470
19.09.2019	Burckhardt Compression Holding AG	Rebalancing	0.165559	0.165520
19.09.2019	Burkhalter Holding AG	Rebalancing	0.526871	0.526747
19.09.2019	Cash CHF	Rebalancing	48.878818	45.321497
19.09.2019	Conzzeta Inc	Rebalancing	0.041367	0.041357
19.09.2019	Feintool International Holding AG	Rebalancing	0.360254	0.360169
19.09.2019	Georg Fischer Ltd	Rebalancing	0.058880	0.058866
19.09.2019	Gurit Holding AG	Rebalancing	0.042165	0.042155
19.09.2019	HIAG Immobilien Holding AG	Rebalancing	0.343823	0.343742
19.09.2019	Huber + Suhner AG	Rebalancing	0.487378	0.487263
19.09.2019	Implenia AG	Rebalancing	0.647281	1.580735
19.09.2019	Interroll Holding Ltd	Rebalancing	0.013003	0.013000
19.09.2019	Kardex AG	Rebalancing	0.286656	0.286588
19.09.2019	Komax Holding AG	Rebalancing	0.174885	0.174844
19.09.2019	Landis+Gyr Group AG	Rebalancing	0.532595	0.532469
19.09.2019	Leonteq AG	Sell	1.297281	-
19.09.2019	Logitech International SA	Rebalancing	1.347298	1.362618
19.09.2019	MCH Group AG	Rebalancing	0.761168	0.760988
19.09.2019	Orascom Development Holding Ltd	Rebalancing	3.571784	4.354193
19.09.2019	Peach Property Group AG	Rebalancing	3.418139	3.417332
19.09.2019	Siegfried Holding AG	Rebalancing	0.086154	0.086134
19.09.2019	Sulzer AG	Rebalancing	0.336700	0.336621
19.09.2019	Temenos AG	Rebalancing	0.324546	0.324469
19.09.2019	Valora Holding AG	Rebalancing	0.262213	0.262151
19.09.2019	Vetropack Holding SA	Rebalancing	0.023990	0.023984
		Rebalancing on 21.10.2019	Amount old	Amount new
21.10.2019	Cash CHF	Rebalancing	45.321497	106.888054
21.10.2019	Implenia AG	Sell	1.580735	-
		Rebalancing on 01.11.2019	Amount old	Amount new
01.11.2019	Feintool International Holding AG	Sell	0.360169	-
01.11.2019	Komax Holding AG	Rebalancing	0.174844	0.275265
		Rebalancing on 08.11.2019	Amount old	Amount new
08.11.2019	Burckhardt Compression Holding AG	Rebalancing	0.165520	0.219002
08.11.2019	Cash CHF	Rebalancing	106.888054	131.394502
08.11.2019	Georg Fischer Ltd	Sell	0.058866	-
08.11.2019	Sulzer AG	Rebalancing	0.336621	0.458934
08.11.2019	Temenos AG	Rebalancing	0.324469	0.371591
		Rebalancing on 22.11.2019	Amount old	Amount new
22.11.2019	HIAG Immobilien Holding AG	Sell	0.343742	-
22.11.2019	Valora Holding AG	Rebalancing	0.262151	0.394997
		Rebalancing on 25.11.2019	Amount old	Amount new
25.11.2019	Cash CHF	Rebalancing	131.394502	97.869911
25.11.2019	Comet Holding AG	Buy	-	0.275213

Corporate Actions

Date	Underlying	Action		
		Rebalancing on 28.11.2019	Amount old	Amount new
28.11.2019	Alpiq Holding Ltd	Sell	0.640734	-
28.11.2019	Cash CHF	Rebalancing	97.869911	120.641706
28.11.2019	Comet Holding AG	Rebalancing	0.275213	0.462458
		Rebalancing on 19.12.2019	Amount old	Amount new
19.12.2019	ALSO Holding AG	Sell	0.244383	-
19.12.2019	BKW AG	Rebalancing	0.522470	1.978969
19.12.2019	Cash CHF	Rebalancing	120.641706	171.642814
19.12.2019	Landis+Gyr Group AG	Sell	0.532469	-
19.12.2019	Logitech International SA	Sell	1.362618	-
		Rebalancing on 10.01.2020	Amount old	Amount new
10.01.2020	Basilea Pharmaceutica AG	Sell	0.616841	-
10.01.2020	Cash CHF	Rebalancing	171.642814	162.396828
10.01.2020	Comet Holding AG	Rebalancing	0.462458	1.305501
10.01.2020	Implenia AG	Buy	-	2.706395
10.01.2020	Molecular Partners Ltd	Buy	-	2.168872
10.01.2020	Peach Property Group AG	Sell	3.417332	-
10.01.2020	Vetropack Holding SA	Sell	0.023984	-
		Rebalancing on 24.01.2020	Amount old	Amount new
24.01.2020	Cash CHF	Rebalancing	162.396828	126.225992
24.01.2020	Mobilezone Holding AG	Buy	-	3.219637
		Rebalancing on 31.01.2020	Amount old	Amount new
31.01.2020	Cash CHF	Rebalancing	126.225992	187.525087
31.01.2020	Orascom Development Holding Ltd	Sell	4.354193	-
		Rebalancing on 04.02.2020	Amount old	Amount new
04.02.2020	Cash CHF	Rebalancing	187.525087	131.466766
04.02.2020	Clariant AG	Buy	-	2.536195
		Rebalancing on 06.02.2020	Amount old	Amount new
06.02.2020	Cash CHF	Rebalancing	131.466766	75.182850
06.02.2020	Rieter Holding AG	Buy	-	0.431249
		Rebalancing on 18.02.2020	Amount old	Amount new
18.02.2020	Bell AG	Buy	-	0.212780
18.02.2020	Cash CHF	Rebalancing	75.182850	16.902008
		Rebalancing on 14.05.2020	Amount old	Amount new
14.05.2020	Arbonia AG	Buy	-	6.015203
14.05.2020	Ascom Holding AG	Sell	4.918471	-
14.05.2020	Bachem Holding AG	Buy	-	0.116576
14.05.2020	Burckhardt Compression Holding AG	Sell	0.219002	-
14.05.2020	Cash CHF	Sell	16.902008	-
14.05.2020	Interroll Holding Ltd	Rebalancing	0.013000	0.029307
14.05.2020	Komax Holding AG	Sell	0.275265	-
14.05.2020	Logitech International SA	Buy	-	0.551786
14.05.2020	MCH Group AG	Sell	0.760988	-
14.05.2020	Peach Property Group AG	Buy	-	0.836261
14.05.2020	Sulzer AG	Sell	0.458934	-
		Rebalancing on 04.06.2020	Amount old	Amount new
04.06.2020	Cash CHF	Buy	-	81.046930
04.06.2020	Valora Holding AG	Sell	0.394997	-
		Rebalancing on 10.06.2020	Amount old	Amount new
10.06.2020	Arbonia AG	Rebalancing	6.015203	12.145599
10.06.2020	Cash CHF	Rebalancing	81.046930	20.479188
12.06.2020	INA Invest Holding AG	Spin-off INA Invest Holding AG from Implenia AG on 12.06.2020	No. of Shares old 0	No. of Shares new 0.549125
		Rebalancing on 29.06.2020	Amount old	Amount new
29.06.2020	Cash CHF	Rebalancing	20.479188	52.795375
29.06.2020	Molecular Partners Ltd	Sell	2.168872	-
		Rebalancing on 30.07.2020	Amount old	Amount new
30.07.2020	Cash CHF	Rebalancing	52.795375	19.849577
30.07.2020	Peach Property Group AG	Rebalancing	0.836261	1.688333
		Rebalancing on 26.10.2020	Amount old	Amount new
26.10.2020	ALSO Holding AG	Buy	-	0.298447
26.10.2020	BKW AG	Rebalancing	2.015817	1.676165
26.10.2020	Cash CHF	Sell	19.849577	-
26.10.2020	Comet Holding AG	Rebalancing	1.312422	0.808184
26.10.2020	Implenia AG	Sell	2.745626	-
26.10.2020	Ina Invest Holding AG	Sell	0.549125	-
26.10.2020	Sensirion Holding AG	Buy	-	1.317833
26.10.2020	SIG Combibloc Group AG	Buy	-	3.447857
		Rebalancing on 04.01.2021	Amount old	Amount new
04.01.2021	Arbonia AG	Rebalancing	12.145599	5.492809
04.01.2021	BKW AG	Rebalancing	1.676165	0.760806
04.01.2021	Bobst Group SA	Buy	-	1.441765
04.01.2021	Burckhardt Compression Holding AG	Buy	-	0.248994

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Date	Underlying	Action		
04.01.2021	Calida Holding AG	Buy	-	1.227204
04.01.2021	Cash CHF	Buy	-	50.335349
04.01.2021	Comet Holding AG	Rebalancing	0.808184	0.395244
04.01.2021	Flughafen Zuerich AG	Buy	-	0.487227
04.01.2021	Gurit Holding AG	Rebalancing	0.042663	0.030480
04.01.2021	Rieter Holding AG	Rebalancing	0.446055	0.806273
04.01.2021	Siegfried Holding AG	Sell	0.086693	-