

## ZKB Tracker Certificate Dynamic on the HBL Balanced Strategy Global Basket

27.12.2016 - Open End | Swiss Security Code 32 772 002

### Summary

This Summary is to be understood as an introduction to the present Final Terms. Any investment decision in relation to the products must be based on the information contained in the Base Prospectus and in these Final Terms in their entirety and not on the Summary. In particular, each investor should consider the risk factors contained in these Final Terms and in the Base Prospectus.

The Issuer can only be held liable for the content of this Summary if the Summary is misleading, incorrect or contradictory when read together with the other parts of the Final Terms and the Base Prospectus.

Information on the securities
<b>Type of product:</b> ZKB Tracker Certificate Dynamic <b>SSPA Category:</b> Tracker Certificate (1300, acc. to the Swiss Derivative Map) <b>ISIN:</b> CH0327720022 <b>Symbol:</b> HLAWCZ <b>Issuer:</b> Zürcher Kantonalbank <b>Underlying:</b> the HBL Balanced Strategy Global Basket <b>Initial Fixing Date:</b> From 19 December 2016 till 20 December 2016 <b>Settlement Date:</b> 27 December 2016 <b>Final Fixing Date:</b> --- (Open End) <b>Redemption Date:</b> --- (Open End) <b>Type of settlement:</b> cash
Information concerning the offer and admission to trading
<b>Place of the offer:</b> Switzerland <b>Notional Amount/Denomination/Trading Units:</b> CHF 20'000'000.00/CHF 10.00/1 Structured Product or multiples thereof <b>Issue price:</b> CHF 10.00 <b>Selling restrictions:</b> EEA, U.S.A./U.S. persons, United Kingdom, Guernsey <b>Information on listing:</b> Application to list on the SIX Swiss Exchange will be filed, the first provisory trading day will be 27 December 2016

### New Issue

#### 1. Product Description

#### Product Category/Name

Participation Product/Tracker Certificate (1300, according to the "Swiss Derivative Map" provided by the Swiss Structured Products Association)

#### CISA Notification

**This is a Structured Product. It does not constitute a collective investment scheme within the meaning of the Swiss Federal Act on Collective Investment Schemes (CISA) and it is not subject to authorisation or supervision by FINMA. The issuer risk is borne by investors.**

#### Title Universe

The Title Universe consists of Exchange Traded Funds (ETFs) on equity- and bond investments with a weighting of 35% to 50% each, a cash component is possible. The ETFs are listed at a recognized exchange (World Federation of Exchanges or FESE (Federation of European Securities Exchanges)). The ETFs must have a Swiss distribution authorisation and publish Swiss tax values. The current composition of the Underlying can be found under [www.zkb.ch/strukturierteprodukte](http://www.zkb.ch/strukturierteprodukte).

#### Rebalancing

The Investment Manager rebalances generally 12 times a year the portfolio composition based on its qualified evaluation of the market. The Investment Manager considers only ETFs which provide sufficient liquidity and tradability. The Rebalancing is based on net prices of the Underlyings and/or on NAV prices (incl. *agio/disagio*), (average of the net prices of the underlyings converted into the basket currency by the Issuer). The rebalancing period may be prolonged by the Issuer due to limited tradability. The current basket composition of the Underlying is listed in the Annex to this termsheet.

#### Issuer

Zürcher Kantonalbank, Zurich

<b>Rating of the Issuer</b>	For Products issued by Zürcher Kantonalbank: Standard & Poor's AAA, Moody's Aaa, Fitch AAA					
<b>Lead Manager, Paying Agent, Exercise Agent and Calculation Agent</b>	Zürcher Kantonalbank, Zurich					
<b>Investment Manager</b>	Hypothekarbank Lenzburg AG (HBL), HBL Asset Management Hypothekarbank Lenzburg Ltd. is a bank according to the Swiss Federal Act on Banks and Saving Institutions (BankG; SR 952.0) and a securities dealer according to the Swiss Federal Act on Securities Exchanges and Securities Trading (BEHG; SR 954.1), Hypothekarbank Lenzburg Ltd. is subject to the prudential supervision of the FINMA, Laupenstrasse 27, CH-3003 Bern, <a href="http://www.finma.ch">http://www.finma.ch</a> .					
<b>Symbol/ Swiss Security Code/ISIN</b>	<b>***The information in this section has been adjusted due to Symbol exchange.</b>  <b>HLAWCZ/ 32 772 002/CH0327720022</b>					
<b>Notional Amount/Denomination/ Trading Units</b>	CHF 20'000'000.00/CHF 10.00/1 Structured Product or multiples thereof					
<b>Number of Structured Products</b>	Up to 2'000'000****, with the right to increase					
<b>Issue Price</b>	<b>CHF 10.00****</b> / 100.25% of Basket Value on the Initial Fixing Date					
<b>Currency</b>	CHF					
<b>Underlying per Initial Fixing Date</b>	<b>Component</b>	<b>ISIN</b>	<b>Related Exchange</b>	<b>*Currency / Initial Fixing Value</b>	<b>Weight in %</b>	<b>No. of Shares ****</b>
	iShares Core CHF Corporate Bond (CH) ETF	CH0226976816 /CHCORP SW	SIX Swiss Exchange	CHF 100.8500	18.00	0.017804
	iShares Core MSCI Emerging Markets IMI UCITS ETF	IE00BKM4GZ66 /EIMI SW	SIX Swiss Exchange	USD 21.7800	5.25	0.023462
	iShares Core S&P 500 UCITS ETF	IE00B5BMR087 /CSSPX SW	SIX Swiss Exchange	USD 214.9600	6.50	0.002943
	iShares Euro Corporate Bond Large Cap UCITS ETF	IE0032523478 /BCX SW	SIX Swiss Exchange	CHF 144.0300	6.00	0.004155
	iShares FTSE 100 UCITS ETF (Acc)	IE00B53HP851 /CSUKX SW	SIX Swiss Exchange	GBP 101.4900	2.50	0.001943
	iShares J.P. Morgan \$ Emerging Markets Bond UCITS ETF	IE00B2NPKV68 /IEMB SW	SIX Swiss Exchange	USD 108.5300	2.50	0.002242
	iShares USD Corporate Bond UCITS ETF	IE0032895942 /LQDE SW	SIX Swiss Exchange	USD 111.1800	7.50	0.006566
	SPDR Barclays Sterling Corporate Bond UCITS ETF	IE00B4694Z11 /UKCO SW	SIX Swiss Exchange	CHF 75.7200	5.00	0.006587
	UBS ETF (LU) EURO STOXX 50 UCITS	LU0136234068 /E50EUA SW	SIX Swiss Exchange	EUR 32.4191	6.00	0.017237
	UBS ETF (LU) MSCI Pacific (ex Japan) UCITS	LU0446734526 /PACUSA SW	SIX Swiss Exchange	USD 37.5800	6.00	0.015541
	UBS ETF (CH) SMI	CH0017142719 /SMICHA SW	SIX Swiss Exchange	CHF 83.6700	25.00	0.029805
	Cash CHF	CH0002748082 /CHF	other	CHF 1.0000	9.75	0.972563
	* Local taxes, transactions fees and foreign commissions are, if applicable, included in the Initial Fixing Value of each component and are thus borne by the holders of the Structured Product. This applies particularly, though not exclusively, when exercising rights associated with the Structured Product and/or a Rebalancing.					
<b>Basket Value</b>	CHF 9.975**** on Initial Fixing Date  <b>The terms and conditions of the present product have been adjusted due to Corporate Actions, refer to table Corporate Actions.</b>					
<b>Ratio</b>	1 ZKB Tracker Certificate Dynamic represents 1 Underlying					

<b>Dividend Payments</b>	No dividends will be paid out. The dividend as a whole will be reinvested into the Structured Product in the form of a net dividend. The effective dividend payment will get wholly (less non-reclaimable taxes) reinvested in the corresponding components of the Underlying.
<b>Initial Fixing Date</b>	From 19 December 2016 till 20 December 2016
<b>Settlement Date</b>	27 December 2016
<b>Early Redemption Right of the Issuer</b>	The Issuer has the right to call the Structured Product for Early Redemption each year per 20 December (Exercise Date) which has to be announced at least 20 banking days in advance without any reasons (for the first time per 20 December 2017, modified following). The information to the holder of the Structured Product will be published by the SIX Swiss Exchange.
<b>Early Redemption Right of the Investor</b>	In addition to the possibility of selling the Structured Products at any time in the secondary market, the investor has each year per 20 December the right to exercise his Structured Products (for the first time per 20 December 2017). The according exercise notice has to be received no later than 5 banking days before the respective exercise date by Zürcher Kantonalbank (Zürcher Kantonalbank, Department IHHV, Josefstrasse 222, P.O. Box 8010 Zurich). Should the Structured Products of the Investor be deposited in a custodian bank, the Investor needs additionally and in due time advise/inform his custodian bank according the notice of redemption.
<b>Initial Fixing Level</b>	From 19 December 2016 till 20 December 2016, Net price of the components of the Underlying on a best effort basis (the Issuer has the right to expand the Initial Fixing period if required by current market conditions e.g. liquidity) Local taxes, transactions fees and foreign commissions are included in the Initial Fixing Value of each component if applicable.
<b>Redemption Method</b>	On Exercise Date, investors receive in cash for every certificate 100% of the Underlying as defined on the Final Fixing Date and according to the following formula: $\sum_{i=1}^N S_{i,T} \times W_{i,T} \times FX_{i,T} - \text{Fees}$ <p>where</p> <p><math>S_{i,T}</math> = Value of the Component i of the Underlying on Final Fixing Date  <math>W_{i,T}</math> = Weighting of the Component i of the Underlying (number of Shares) on Final Fixing Date  Fees = Annual Fee and Rebalancing Fees  <math>FX_{i,T}</math> = Exchange rate of the Component i of the Underlying (to CHF) on Final Fixing Date  T = Final Fixing Date</p> <p>If capital events that were unknown at the time of issue take place during the life of the ZKB Tracker Certificate Dynamic, they shall be taken into account by adjusting the weighting of the affected Component of the Underlying accordingly.</p>
<b>Listing</b>	Application to list on the SIX Swiss Exchange will be filed, the first provisory trading day will be 27 December 2016.
<b>Annual Fee</b>	1.20% p.a. The Annual Fee will be charged based on the Denomination and is pro rata temporis included in the trading price. Of the Annual Fee, the Calculation Agent receives 0.35% p.a. and the Investment Manager receives 0.85% p.a. for their relevant duties.
<b>Rebalancing Fee</b>	Rebalancings exceeding 15 per year will be charged with a Rebalancing Fee of 0.10% on the value of each of the traded component. This Rebalancing Fee is not included in the TER.
<b>Clearing House</b>	SIX SIS AG/Euroclear/Clearstream
<b>Total Expense Ratio (TER) / Distribution fees</b>	A TER - in the sense implied by the definition of the Swiss Funds & Asset Management Association - of <b>1.225% p.a.</b> is pursued. The TER includes production and distribution fees charged to the Structured Product. The TER includes one-off costs spread over the product's entire term (respectively 10 years in case of open ended products). Any risk and transaction costs, such as in the form of bid-ask spreads on options, are not taken into account in the TER. <b>No</b> distribution fees in the form of a discount on issue price, reimbursement of a part of the issue price or other one-off and/or periodic charges are paid out to one or several distribution partners of this Structured Product.

Sales: 044 293 66 65

SIX Telekurs: 85,ZKB  
Internet: [www.zkb.ch/strukturierteprodukte](http://www.zkb.ch/strukturierteprodukte)

Reuters: ZKBSTRUCT  
Bloomberg: ZKBY <go>

## Key Elements of the Product

In terms of value, buying a ZKB Tracker Certificate Dynamic is equivalent to buying the Underlying less any Fees. A single, low-cost transaction gives investors the opportunity to participate fully in the performance of the Underlying. Dividend Payments of underlying components in the basket are paid out to the investor by means of Dividend reinvestment in the Underlying. Redemption is based on the weighted value of Underlying components on the Exercise Date.

## Taxes

The Issuer reports the taxable factors (change to previous year's value) divided in the components income and capital gain to the Swiss Federal Tax Administration on 31 October\*\* each year. The income part is subject to Swiss income tax for private investors with Swiss tax domicile as per reporting date. The capital gain part is not subject to Swiss income tax.

The product is not subject to Swiss withholding tax. The Federal securities transfer stamp tax is not levied on secondary market transactions. For Swiss paying agents this product is not subject to the EU taxation of savings income (SIX Telekurs EU-Tax classification code: 9, "out of scope").

The information above is a summary only of the Issuer's understanding of current law and practice in Switzerland relating to the taxation of Structured Products. The relevant tax law and practice may change. The Issuer does not assume any liability in connection with the above information. The tax information only provides a general overview and can not substitute the personal tax advice to the investor.

## Documentation

This document is a non-binding English translation of the Final Terms (Endgültige Bedingungen) published in German and constituting the Final Terms in accordance with article 45 of the Federal Act on Financial Services (FinSA). The English language translation is provided for convenience only.

The binding German version of these Final Terms supplements the Base Prospectus approved by the SIX Swiss Exchange and published in German by the Issuer on 16 November 2020. These Final Terms constitute a Simplified prospectus pursuant to article 5 para. 2 CISA in the version dated 1 March 2013. Together with the Base Prospectus (and together with any supplements) these Final Terms form the product documentation for this issue.

If this Product was the first time issued under the Base Prospectus of 16 November 2020, these Final Terms must be read in conjunction with the General Terms of the Securities (Allgemeine Bedingungen der Derivate), the Additional Terms and the Information on the Underlyings in the Base Prospectus of 16 November 2020. If this Product has been issued prior to the date of the Base Prospectus of 16 November 2020, these Final Terms shall be read in conjunction with the Base Prospectus of 16 November 2020 and together with the Existing Terms of the Products from the version of the Issuance Programme or Base Prospectus in force at the time of issuance that has been incorporated by reference in this Base Prospectus. The Base Prospectus of the Issuer dated 16 November 2020 will cease to be valid on 16 November 2021. From and including this date, these Final Terms must be read together with the latest valid version of the Base Prospectus of the Issuer (including the information incorporated by reference into the latest valid version of the Base Prospectus from the Base Prospectus under which the Products the first time were issued), which follows the Base Prospectus of 16 November 2020.

Except as otherwise defined in these Final Terms, the terms used in these Final Terms have the meaning given to them in the Base Prospectus. In case of discrepancies between information or the provisions in these Final Terms and those in the Base Prospectus, the information and provisions in these Final Terms shall prevail. In the event of a listing of the products, the product documentation will be adapted, if and to the extent necessary, in accordance with the requirements of the relevant exchange. The present products will be issued in the form of uncertificated securities (Wertrechte) and registered as book-entry securities (Bucheffekten) with SIX SIS AG. Investors have no right to require the issuance of any certificates or any proof of evidence for the products.

**These Final Terms and the Base Prospectus can be ordered free of charge at Zürcher Kantonalbank, Bahnhofstrasse 9, 8001 Zurich, dept. VRIE or by e-mail at [documentation@zkb.ch](mailto:documentation@zkb.ch). They are also available on <https://www.zkb.ch/finanzinformationen>.**

## Information on the Underlying

Information on the performance of the Underlying / a component of the Underlying is publicly available on [www.bloomberg.com](http://www.bloomberg.com). Current annual reports are published on the website of the respective business entity. The transfer of the Underlying / a component of the Underlying is conducted in accordance with their respective statutes. The iShs Cr 500 USD Fd charges a yearly Management Fee of 0.40% p.a., which is included in the trading price. The iShs Cr MSCI Jp USD charges a yearly Management Fee of 0.40% p.a., which is included in the trading price. The iShs FTSE100 GBP Fd charges a yearly Management Fee of 0.40% p.a., which is included in the trading price. The iShs GBP Co Bd GBP charges a yearly Management Fee of 0.40% p.a., which is included in the trading price. The iShs JPMg US Mk Fd charges a yearly Management Fee of 0.40% p.a., which is included in the trading price. The iShares Core MSCI EM charges a yearly Management Fee of 0.40% p.a., which is included in the trading price. The iShrsCHFCrpBd A Fd charges a yearly Management Fee of 0.40% p.a., which is included in the trading price. The UBS ETF ES50A Fd charges a yearly Management Fee of 0.40% p.a., which is included in the trading price. The UBS ETF MSCI Pac.Fd charges a yearly Management Fee of 0.40% p.a., which is included in the trading price. The UBS ETF (CH) SMI charges a yearly Management Fee of 0.40% p.a., which is included in the trading price.

## Notices

Any notice by the Issuer in connection with these Structured Products, in particular any notice in connection with modifications of the terms and conditions will be validly published on the website <https://zkb-finance.mdgms.com/products/stp/index.html> under the relevant Structured Product. The Swiss security code search button will lead you directly to the relevant Structured Product. The notices will be published in accordance with the rules issued by SIX Swiss Exchange for IBL (Internet Based Listing) on the website <https://www.six-exchange-regulation.com/en/home/publications/official-notices.html>

## Governing Law/Jurisdiction

Swiss Law/Zurich 1

## 2. Profit and Loss Expectations after Year 1

### Profit and Loss Expectations after Year 1

ZKB Tracker Certificate Dynamic

Basket		Redemption	
Value	Percent	ZKB Tracker Certificate Dynamic	Performance %
CHF 6.98	-30.00%	CHF 6.86	-31.37%
CHF 7.98	-20.00%	CHF 7.86	-21.4%
CHF 8.98	-10.00%	CHF 8.86	-11.42%
CHF 10.00	+0.25%	CHF 9.88	-1.20%
CHF 10.97	+10.00%	CHF 10.85	8.53%
CHF 11.97	+20.00%	CHF 11.85	18.5%
CHF 12.97	+30.00%	CHF 12.85	28.48%

Source: Zürcher Kantonalbank

The performance of the ZKB Tracker Certificate Dynamic is analog to the performance of the Underlying less any Fees. Rebalancing Fees are not included in the table above.

The figures in the table above are valid after Year 1 only and cannot be considered as a price indication for the present Structured Product during its lifetime. The price quoted in the secondary market can differ substantially from the table above. For presentation purposes it is assumed, that the currency of the Underlying remains constant during the lifetime of the present Structured Product.

## 3. Material Risks for Investors

### Credit Risk Relating to Issuer

Obligations under these Structured Products constitute direct, unconditional and unsecured obligations of the Issuer and rank pari passu with other direct, unconditional and unsecured obligations of the Issuer. The value of the Structured Product does not only depend on the performance of the Underlying and other developments in the financial markets, but also on the solvency of the Issuer, which may change during the term of this Structured Product.

### Specific Product Risks

Structured Products are complex financial instruments, which entail considerable risks and, accordingly, are only suitable for investors who have the requisite knowledge and experience and understand thoroughly the risks connected with an investment in these structured products and are capable of bearing the economic risks.

ZKB Tracker Certificate Dynamic is an investment product whose price fluctuates to the same degree as the Underlying less any Fees. Depending on price trends, the price of a ZKB Tracker Certificate Dynamic could fall below the Issue Price. The risk profile of the ZKB Tracker Certificate Dynamic corresponds to that of the Underlying. The ZKB Tracker Certificate Dynamic is denominated in CHF. The investor bears all the risks in connection with a possible exchange rate risk between the product currency, the currency of the components of the Underlying, and his reference currency.

#### 4. Additional Terms

##### Modifications

If an Extraordinary Event as described in Section IV of the Issuance Programme occurs in relation to the Underlying/a component of the Underlying or if any other extraordinary event occurs, which makes it impossible or particularly cumbersome for the Issuer, to fulfill its obligations under the Structured Products or to calculate the value of the Structured Products, the Issuer shall at its own discretion take all the necessary actions and, if necessary may modify the terms and conditions of these Structured Products at its own discretion in such way, that the economic value of the Structured Products after occurrence of the extraordinary event corresponds, to the extent possible, to the economic value of the Structured Products prior to the occurrence of the extraordinary event. Specific modification rules for certain types of Underlyings stated in Section IV of the Issuance Programme shall prevail. If the Issuer determines, for whatever reason, that an adequate modification is not possible, the Issuer has the right to redeem the Structured Products early.

##### Market Disruptions

Compare specific provisions in the Issuance Programme.

##### Selling Restrictions

The selling restrictions contained in the Issuance Programme are applicable (EEA, U.S.A./U.S. persons, Guernsey).

In particular must this publication and the information contained within not be distributed and / or redistributed, used or relied upon, by any person (whether individual or entity) who may be a US person under Regulation S under the US Securities Act of 1933. US persons include any US resident; any corporation, company, partnership or other entity organized under any law of the United States; and other categories set out in Regulation S.

The Issuer has not undertaken any actions to permit the public offering of the Structured Products or the possession or the distribution of any document produced in connection with the issuance of the Structured Products in any jurisdiction other than Switzerland. The distribution of these Final Terms or other documents produced in connection with the issuance of the Structured Products and the offering, sale and delivery of the Structured Products in certain jurisdictions may be restricted by law. Persons, which have received these Final Terms or any other documents produced in connection with the issuance of the Structured Products, such as the Issuance Programme, Termsheets, marketing or other selling material, are required by the Issuer to inform themselves about and to observe any such restrictions.

##### Prudential Supervision

As a bank within the meaning of the Swiss Federal Act on Banks and Savings Banks (BankG; SR 952.0) and a securities dealer within the meaning of the Swiss Federal Act on Securities Exchanges and Securities Trading (BEHG; SR 954.1), Zürcher Kantonalbank is subject to the prudential supervision of FINMA, Laupenstrasse 27, CH-3003 Bern, <http://www.finma.ch>.

##### Recording of Telephone Conversations

Investors are reminded that telephone conversations with trading or sales units of Zürcher Kantonalbank are recorded. Investors, engaging in telephone conversations with these units provide their tacit consent to the recording of their conversations.

##### Material Changes

Since the end of the last financial year or the date of the interim financial statements, there have been no material changes in the assets, financial or revenue position of the Issuer.

##### Responsibility for the Final Terms

Zürcher Kantonalbank, Zurich, assumes responsibility for the content of these Final Terms and hereby declares that, to its knowledge, the information contained in these Final Terms is correct and no material circumstances have been omitted.

Zurich, 7 November 2016, last update on 10 February 2021

**\*\*\*Adjustments per 12.4.2017:**

**Symbol old: HBLAWZ**

**Symbol new: HLAWCZ**

**\*\*Change per 4 September 2017:**

**old: 15 December**

**new: 31 October**

## Underlying on 09 02 2021

Component	ISIN / Bloomberg	Related Exchange	*Currency / Initial Fixing Value	Weight in %	No. of Shares
Cash CHF	CH0002748082 /CHF	other	1.0000	3.65	0.457208
iShares Euro Corporate Bond Large Cap UCITS ETF	IE0032523478 /IBCX SE	SIX Swiss Exchange	153.1037	7.46	0.006108
iShares Core S&P 500 UCITS ETF	IE00B5BMR087 /CSSPX SE	SIX Swiss Exchange	395.0407	7.22	0.002563
iShares III PLC - iShares Core MSCI Japan IMI UCITS ETF	IE00B4L5YX21 /SJPA SE	SIX Swiss Exchange	5570.0000	1.21	0.003183
iShares FTSE 100 UCITS ETF (Acc)	IE00B53HP851 /CSUKX SE	SIX Swiss Exchange	110.3600	0.88	0.000810
Shs GBP iShares PLC - iShares Core GBP Corp Bond UCITS ETF	IE00B00FV011 /SLXX SE	SIX Swiss Exchange	157.7400	2.33	0.001501
iShares J.P. Morgan \$ Emerging Markets Bond UCITS ETF	IE00B2NPKV68 /IEMB SE	SIX Swiss Exchange	113.5600	4.23	0.005227
iShares Core MSCI Emerging Markets IMI UCITS ETF	IE00BKM4GZ66 /EIMI SE	SIX Swiss Exchange	39.0800	8.74	0.031363
iShares USD Corporate Bond UCITS ETF	IE0032895942 /LQDE SE	SIX Swiss Exchange	129.7800	8.13	0.008786
iShares Core CHF Corporate Bond (CH) ETF	CH0226976816 /CHCORP SE	SIX Swiss Exchange	100.1750	21.79	0.027266
UBS ETF (LU) EURO STOXX 50 UCITS	LU0136234068 /E50EUA SE	SIX Swiss Exchange	36.6350	6.60	0.020879
UBS ETF (LU) MSCI Pacific (ex Japan) UCITS	LU0446734526 /PACUSA SE	SIX Swiss Exchange	47.4300	1.13	0.003339
UBS ETF (CH) SMI	CH0017142719 /SMICHA SE	SIX Swiss Exchange	110.2365	26.64	0.030293

\* Local taxes, transactions fees and foreign commissions are, if applicable, included in the Initial Fixing Value of each component and are thus borne by the holders of the Structured Product. This applies particularly, though not exclusively, when exercising rights associated with the Structured Product and/or a Rebalancing.

## Corporate Actions

Date	Underlying	Action		
		Rebalancing on 10.01.2017	No of Shares old	No of Shares new
10.01.2017	Cash CHF	Rebalancing	9.725625	9.835562
10.01.2017	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.178037	0.178632
10.01.2017	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.234623	0.275392
10.01.2017	iShares Core S&P 500 UCITS ETF	Rebalancing	0.029432	0.025434
10.01.2017	iShares Euro Corporate Bond Large Cap UCITS ETF	Rebalancing	0.041554	0.041835
10.01.2017	iShares FTSE 100 UCITS ETF (Acc)	Rebalancing	0.019425	0.019608
10.01.2017	iShares J.P. Morgan \$ Emerging Markets Bond UCITS ETF	Rebalancing	0.022421	0.022390
10.01.2017	iShares USD Corporate Bond UCITS ETF	Rebalancing	0.065660	0.065672
10.01.2017	SPDR Barclays Sterling Corporate Bond UCITS ETF	Rebalancing	0.065868	0.067377
10.01.2017	UBS ETF (CH) SMI	Rebalancing	0.298046	0.295517
10.01.2017	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.172366	0.171273
10.01.2017	UBS ETF (LU) MSCI Pacific (ex Japan) UCITS	Rebalancing	0.155405	0.151590
		Rebalancing on 06.02.2017	No of Shares old	No of Shares new
06.02.2017	Cash CHF	Rebalancing	9.835562	9.788807
06.02.2017	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.178632	0.179639
06.02.2017	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.275392	0.265970
06.02.2017	iShares Core S&P 500 UCITS ETF	Rebalancing	0.025434	0.025470
06.02.2017	iShares Euro Corporate Bond Large Cap UCITS ETF	Rebalancing	0.041835	0.041888
06.02.2017	iShares FTSE 100 UCITS ETF (Acc)	Rebalancing	0.019608	0.019406
06.02.2017	iShares J.P. Morgan \$ Emerging Markets Bond UCITS ETF	Rebalancing	0.022390	0.022557
06.02.2017	iShares USD Corporate Bond UCITS ETF	Rebalancing	0.065672	0.066810
06.02.2017	SPDR Barclays Sterling Corporate Bond UCITS ETF	Rebalancing	0.067377	0.067970
06.02.2017	UBS ETF (CH) SMI	Rebalancing	0.295517	0.295880
06.02.2017	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.171273	0.173304
06.02.2017	UBS ETF (LU) MSCI Pacific (ex Japan) UCITS	Rebalancing	0.151590	0.153432
		Rebalancing on 01.03.2017	No of Shares old	No of Shares new
01.03.2017	Cash CHF	Rebalancing	9.788807	10.023557
01.03.2017	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.179639	0.182802
01.03.2017	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.265970	0.263256
01.03.2017	iShares Core S&P 500 UCITS ETF	Rebalancing	0.025470	0.024665
01.03.2017	iShares Euro Corporate Bond Large Cap UCITS ETF	Rebalancing	0.041888	0.042824
01.03.2017	iShares FTSE 100 UCITS ETF (Acc)	Rebalancing	0.019406	0.019346
01.03.2017	iShares J.P. Morgan \$ Emerging Markets Bond UCITS ETF	Rebalancing	0.022557	0.022772
01.03.2017	iShares USD Corporate Bond UCITS ETF	Rebalancing	0.066810	0.067145
01.03.2017	SPDR Barclays Sterling Corporate Bond UCITS ETF	Rebalancing	0.067970	0.068137
01.03.2017	UBS ETF (CH) SMI	Rebalancing	0.295880	0.293899
01.03.2017	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.173304	0.172512
01.03.2017	UBS ETF (LU) MSCI Pacific (ex Japan) UCITS	Rebalancing	0.153432	0.150318
		Rebalancing on 03.04.2017	Amount old	Amount new
03.04.2017	Cash CHF	Rebalancing	10.023557	10.073719
03.04.2017	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.182802	0.184409
03.04.2017	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.263256	0.259470
03.04.2017	iShares Core S&P 500 UCITS ETF	Rebalancing	0.024665	0.025399



## Corporate Actions

Date	Underlying	Action		
03.04.2017	iShares Euro Corporate Bond Large Cap UCITS ETF	Rebalancing	0.042824	0.043029
03.04.2017	iShares FTSE 100 UCITS ETF (Acc)	Rebalancing	0.019346	0.019405
03.04.2017	iShares J.P. Morgan \$ Emerging Markets Bond UCITS ETF	Rebalancing	0.022772	0.022975
03.04.2017	iShares USD Corporate Bond UCITS ETF	Rebalancing	0.067145	0.068231
03.04.2017	SPDR Barclays Sterling Corporate Bond UCITS ETF	Rebalancing	0.068137	0.067653
03.04.2017	UBS ETF (CH) SMI	Rebalancing	0.293899	0.294091
03.04.2017	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.172512	0.167279
03.04.2017	UBS ETF (LU) MSCI Pacific (ex Japan) UCITS	Rebalancing	0.150318	0.149443
Rebalancing on 27.04.2017			Amount old	Amount new
27.04.2017	Cash CHF	Rebalancing	10.073719	10.221575
27.04.2017	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.184409	0.186856
27.04.2017	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.259470	0.262927
27.04.2017	iShares Core S&P 500 UCITS ETF	Rebalancing	0.025399	0.025573
27.04.2017	iShares Euro Corporate Bond Large Cap UCITS ETF	Rebalancing	0.043029	0.042957
27.04.2017	iShares FTSE 100 UCITS ETF (Acc)	Rebalancing	0.019405	0.019399
27.04.2017	iShares J.P. Morgan \$ Emerging Markets Bond UCITS ETF	Rebalancing	0.022975	0.023312
27.04.2017	iShares USD Corporate Bond UCITS ETF	Rebalancing	0.068231	0.069386
27.04.2017	SPDR Barclays Sterling Corporate Bond UCITS ETF	Rebalancing	0.067653	0.066690
27.04.2017	UBS ETF (CH) SMI	Rebalancing	0.294091	0.289924
27.04.2017	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.167279	0.163248
27.04.2017	UBS ETF (LU) MSCI Pacific (ex Japan) UCITS	Rebalancing	0.149443	0.152308
Rebalancing on 11.05.2017			Amount old	Amount new
11.05.2017	Cash CHF	Rebalancing	10.221575	10.421799
11.05.2017	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.186856	0.190784
11.05.2017	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.262927	0.257220
11.05.2017	iShares Core S&P 500 UCITS ETF	Rebalancing	0.025573	0.025529
11.05.2017	iShares Euro Corporate Bond Large Cap UCITS ETF	Rebalancing	0.042957	0.043196
11.05.2017	iShares FTSE 100 UCITS ETF (Acc)	Rebalancing	0.019399	0.018890
11.05.2017	iShares III PLC - iShares Core MSCI Japan IMI UCITS ETF	Buy	-	0.085420
11.05.2017	iShares J.P. Morgan \$ Emerging Markets Bond UCITS ETF	Rebalancing	0.023312	0.023460
11.05.2017	iShares USD Corporate Bond UCITS ETF	Rebalancing	0.069386	0.069570
11.05.2017	SPDR Barclays Sterling Corporate Bond UCITS ETF	Rebalancing	0.066690	0.066780
11.05.2017	UBS ETF (CH) SMI	Rebalancing	0.289924	0.285974
11.05.2017	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.163248	0.159934
11.05.2017	UBS ETF (LU) MSCI Pacific (ex Japan) UCITS	Rebalancing	0.152308	0.076928
Rebalancing on 29.05.2017			Amount old	Amount new
29.05.2017	Cash CHF	Rebalancing	10.421799	10.300604
29.05.2017	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.190784	0.188115
29.05.2017	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.257220	0.260039
29.05.2017	iShares Core S&P 500 UCITS ETF	Rebalancing	0.025529	0.025914
29.05.2017	iShares Euro Corporate Bond Large Cap UCITS ETF	Rebalancing	0.043196	0.042885
29.05.2017	iShares FTSE 100 UCITS ETF (Acc)	Rebalancing	0.018890	0.019145
29.05.2017	iShares III PLC - iShares Core MSCI Japan IMI UCITS ETF	Rebalancing	0.085420	0.086221

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Date	Underlying	Action		
29.05.2017	iShares J.P. Morgan \$ Emerging Markets Bond UCITS ETF	Rebalancing	0.023460	0.023788
29.05.2017	iShares USD Corporate Bond UCITS ETF	Rebalancing	0.069570	0.070437
29.05.2017	SPDR Barclays Sterling Corporate Bond UCITS ETF	Rebalancing	0.066780	0.067940
29.05.2017	UBS ETF (CH) SMI	Rebalancing	0.285974	0.284426
29.05.2017	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.159934	0.161086
29.05.2017	UBS ETF (LU) MSCI Pacific (ex Japan) UCITS	Rebalancing	0.076928	0.079143
		Rebalancing on 22.06.2017	Amount old	Amount new
22.06.2017	Cash CHF	Rebalancing	10.300604	10.299621
22.06.2017	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.188115	0.187892
22.06.2017	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.260039	0.261904
22.06.2017	iShares Core S&P 500 UCITS ETF	Rebalancing	0.025914	0.025672
22.06.2017	iShares Euro Corporate Bond Large Cap UCITS ETF	Rebalancing	0.042885	0.042983
22.06.2017	iShares FTSE 100 UCITS ETF (Acc)	Rebalancing	0.019145	0.019569
22.06.2017	iShares III PLC - iShares Core MSCI Japan IMI UCITS ETF	Rebalancing	0.086221	0.084075
22.06.2017	iShares J.P. Morgan \$ Emerging Markets Bond UCITS ETF	Rebalancing	0.023788	0.023983
22.06.2017	iShares USD Corporate Bond UCITS ETF	Rebalancing	0.070437	0.070262
22.06.2017	SPDR Barclays Sterling Corporate Bond UCITS ETF	Rebalancing	0.067940	0.068507
22.06.2017	UBS ETF (CH) SMI	Rebalancing	0.284426	0.285136
22.06.2017	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.161086	0.162227
22.06.2017	UBS ETF (LU) MSCI Pacific (ex Japan) UCITS	Rebalancing	0.079143	0.078315
		Rebalancing on 26.07.2017	Amount old	Amount new
26.07.2017	Cash CHF	Rebalancing	10.299621	10.280015
26.07.2017	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.187892	0.189491
26.07.2017	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.261904	0.252725
26.07.2017	iShares Core S&P 500 UCITS ETF	Rebalancing	0.025672	0.025729
26.07.2017	iShares Euro Corporate Bond Large Cap UCITS ETF	Rebalancing	0.042983	0.042127
26.07.2017	iShares FTSE 100 UCITS ETF (Acc)	Rebalancing	0.019569	0.019350
26.07.2017	iShares III PLC - iShares Core MSCI Japan IMI UCITS ETF	Rebalancing	0.084075	0.085684
26.07.2017	iShares J.P. Morgan \$ Emerging Markets Bond UCITS ETF	Rebalancing	0.023983	0.024459
26.07.2017	iShares USD Corporate Bond UCITS ETF	Rebalancing	0.070262	0.071419
26.07.2017	SPDR Barclays Sterling Corporate Bond UCITS ETF	Rebalancing	0.068507	0.068651
26.07.2017	UBS ETF (CH) SMI	Rebalancing	0.285136	0.286437
26.07.2017	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.162227	0.161517
26.07.2017	UBS ETF (LU) MSCI Pacific (ex Japan) UCITS	Rebalancing	0.078315	0.076197
		Rebalancing on 28.08.2017	Amount old	Amount new
28.08.2017	Cash CHF	Rebalancing	10.280015	10.307707
28.08.2017	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.189491	0.189178
28.08.2017	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.252725	0.246405
28.08.2017	iShares Core S&P 500 UCITS ETF	Rebalancing	0.025729	0.026031
28.08.2017	iShares Euro Corporate Bond Large Cap UCITS ETF	Rebalancing	0.042127	0.040903
28.08.2017	iShares FTSE 100 UCITS ETF (Acc)	Rebalancing	0.019350	0.019349
28.08.2017	iShares III PLC - iShares Core MSCI Japan IMI UCITS ETF	Rebalancing	0.085684	0.085516
28.08.2017	iShares J.P. Morgan \$ Emerging Markets Bond UCITS ETF	Rebalancing	0.024459	0.024564

## Corporate Actions

Date	Underlying	Action		
28.08.2017	iShares USD Corporate Bond UCITS ETF	Rebalancing	0.071419	0.071362
28.08.2017	SPDR Barclays Sterling Corporate Bond UCITS ETF	Rebalancing	0.068651	0.069659
28.08.2017	UBS ETF (CH) SMI	Rebalancing	0.286437	0.290086
28.08.2017	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.161517	0.163896
28.08.2017	UBS ETF (LU) MSCI Pacific (ex Japan) UCITS	Rebalancing	0.076197	0.077577
Rebalancing on 15.09.2017			Amount old	Amount new
15.09.2017	Cash CHF	Rebalancing	10.307707	10.174912
15.09.2017	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.189178	0.192184
15.09.2017	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.246405	0.247034
15.09.2017	iShares Core S&P 500 UCITS ETF	Rebalancing	0.026031	0.025683
15.09.2017	iShares Euro Corporate Bond Large Cap UCITS ETF	Rebalancing	0.040903	0.040954
15.09.2017	iShares FTSE 100 UCITS ETF (Acc)	Rebalancing	0.019349	0.019319
15.09.2017	iShares III PLC - iShares Core MSCI Japan IMI UCITS ETF	Rebalancing	0.085516	0.085458
15.09.2017	iShares J.P. Morgan \$ Emerging Markets Bond UCITS ETF	Rebalancing	0.024564	0.024797
15.09.2017	iShares USD Corporate Bond UCITS ETF	Rebalancing	0.071362	0.072044
15.09.2017	SPDR Barclays Sterling Corporate Bond UCITS ETF	Rebalancing	0.069659	0.068759
15.09.2017	UBS ETF (CH) SMI	Rebalancing	0.290086	0.293603
15.09.2017	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.163896	0.160992
15.09.2017	UBS ETF (LU) MSCI Pacific (ex Japan) UCITS	Rebalancing	0.077577	0.077809
Rebalancing on 26.10.2017			Amount old	Amount new
26.10.2017	Cash CHF	Rebalancing	10.174912	10.508283
26.10.2017	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.192184	0.196435
26.10.2017	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.247034	0.239434
26.10.2017	iShares Core S&P 500 UCITS ETF	Rebalancing	0.025683	0.024754
26.10.2017	iShares Euro Corporate Bond Large Cap UCITS ETF	Rebalancing	0.040954	0.040662
26.10.2017	iShares FTSE 100 UCITS ETF (Acc)	Rebalancing	0.019319	0.019266
26.10.2017	iShares III PLC - iShares Core MSCI Japan IMI UCITS ETF	Rebalancing	0.085458	0.079887
26.10.2017	iShares J.P. Morgan \$ Emerging Markets Bond UCITS ETF	Rebalancing	0.024797	0.024828
26.10.2017	iShares USD Corporate Bond UCITS ETF	Rebalancing	0.072044	0.071891
26.10.2017	SPDR Barclays Sterling Corporate Bond UCITS ETF	Rebalancing	0.068759	0.068226
26.10.2017	UBS ETF (CH) SMI	Rebalancing	0.293603	0.295530
26.10.2017	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.160992	0.157273
26.10.2017	UBS ETF (LU) MSCI Pacific (ex Japan) UCITS	Rebalancing	0.077809	0.077995
Rebalancing on 29.11.2017			Amount old	Amount new
29.11.2017	Cash CHF	Rebalancing	10.508283	10.514030
29.11.2017	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.196435	0.198008
29.11.2017	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.239434	0.237259
29.11.2017	iShares Euro Corporate Bond Large Cap UCITS ETF	Rebalancing	0.040662	0.041588
29.11.2017	iShares J.P. Morgan \$ Emerging Markets Bond UCITS ETF	Rebalancing	0.024828	0.024908
29.11.2017	SPDR Barclays Sterling Corporate Bond UCITS ETF	Rebalancing	0.068226	0.069278
29.11.2017	UBS ETF (CH) SMI	Rebalancing	0.295530	0.291546
29.11.2017	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.157273	0.158360
Rebalancing on 11.01.2018			Amount old	Amount new

## Corporate Actions

Date	Underlying	Action		
11.01.2018	Cash CHF	Rebalancing	10.514030	11.035832
11.01.2018	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.198008	0.222074
11.01.2018	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.237259	0.293835
11.01.2018	iShares Core S&P 500 UCITS ETF	Rebalancing	0.024754	0.029991
11.01.2018	iShares Euro Corporate Bond Large Cap UCITS ETF	Rebalancing	0.041588	0.046342
11.01.2018	iShares FTSE 100 UCITS ETF (Acc)	Rebalancing	0.019266	0.006656
11.01.2018	iShares III PLC - iShares Core MSCI Japan IMI UCITS ETF	Rebalancing	0.079887	0.028294
11.01.2018	iShares J.P. Morgan \$ Emerging Markets Bond UCITS ETF	Rebalancing	0.024908	0.034624
11.01.2018	iShares USD Corporate Bond UCITS ETF	Rebalancing	0.071891	0.068319
11.01.2018	SPDR Barclays Sterling Corporate Bond UCITS ETF	Rebalancing	0.069278	0.029747
11.01.2018	UBS ETF (CH) SMI	Rebalancing	0.291546	0.286797
11.01.2018	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.158360	0.167641
11.01.2018	UBS ETF (LU) MSCI Pacific (ex Japan) UCITS	Rebalancing	0.077995	0.027695
11.01.2018	Units iShares Core Composite Bond ETF Exchange Traded Fund	Buy	-	0.029615
Rebalancing on 28.02.2018			Amount old	Amount new
28.02.2018	Cash CHF	Rebalancing	11.035832	10.667132
28.02.2018	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.222074	0.215700
28.02.2018	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.293835	0.294024
28.02.2018	iShares Euro Corporate Bond Large Cap UCITS ETF	Rebalancing	0.046342	0.045671
28.02.2018	iShares J.P. Morgan \$ Emerging Markets Bond UCITS ETF	Rebalancing	0.034624	0.035445
28.02.2018	iShares USD Corporate Bond UCITS ETF	Rebalancing	0.068319	0.069787
28.02.2018	UBS ETF (CH) SMI	Rebalancing	0.286797	0.294959
28.02.2018	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.167641	0.170923
Rebalancing on 04.04.2018			Amount old	Amount new
04.04.2018	Cash CHF	Rebalancing	10.667132	10.625932
04.04.2018	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.215700	0.213876
04.04.2018	iShares Core S&P 500 UCITS ETF	Rebalancing	0.029991	0.030734
04.04.2018	iShares Euro Corporate Bond Large Cap UCITS ETF	Rebalancing	0.045671	0.044433
04.04.2018	iShares J.P. Morgan \$ Emerging Markets Bond UCITS ETF	Rebalancing	0.035445	0.035510
04.04.2018	iShares USD Corporate Bond UCITS ETF	Rebalancing	0.069787	0.068588
04.04.2018	SPDR Barclays Sterling Corporate Bond UCITS ETF	Rebalancing	0.030054	0.028530
04.04.2018	UBS ETF (CH) SMI	Rebalancing	0.294959	0.305149
Rebalancing on 02.05.2018			Amount old	Amount new
02.05.2018	Cash CHF	Rebalancing	10.625932	11.038748
02.05.2018	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.213876	0.221259
02.05.2018	iShares Core S&P 500 UCITS ETF	Rebalancing	0.030734	0.029919
02.05.2018	iShares J.P. Morgan \$ Emerging Markets Bond UCITS ETF	Rebalancing	0.035510	0.035635
02.05.2018	UBS ETF (CH) SMI	Rebalancing	0.305149	0.300268
02.05.2018	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.170923	0.163018
25.05.2018		****Product Split on 25.05.2018	Basket Value old 99.75	Basket Value new 9.975
25.05.2018		****Product Split on 25.05.2018	Issue Price old CHF 100.00	Issue Price new CHF 10.00
25.05.2018		****Product Split on 25.05.2018	Number of Structured Products old CHF 2'000'000	Number of Structured Products new CHF 2'000'000
25.05.2018		****Product Split on 25.05.2018	Number of shares Reduction due to split by factor 10	

## Corporate Actions

Date	Underlying	Action			
		Rebalancing on 07.06.2018	Amount old	Amount new	
07.06.2018	Cash CHF	Rebalancing	1.103875	1.103795	
07.06.2018	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.022126	0.022421	
07.06.2018	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.029402	0.029886	
07.06.2018	iShares Core S&P 500 UCITS ETF	Rebalancing	0.002992	0.002916	
07.06.2018	iShares Euro Corporate Bond Large Cap UCITS ETF	Rebalancing	0.004443	0.004713	
07.06.2018	iShares USD Corporate Bond UCITS ETF	Rebalancing	0.006859	0.007062	
07.06.2018	SPDR Barclays Sterling Corporate Bond UCITS ETF	Rebalancing	0.002853	0.003060	
07.06.2018	UBS ETF (CH) SMI	Rebalancing	0.030027	0.031187	
07.06.2018	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.016302	0.017023	
07.06.2018	Units iShares Core Composite Bond ETF Exchange Traded Fund	Sell	0.002962	-	
			Rebalancing on 03.07.2018	Amount old	Amount new
03.07.2018	Cash CHF	Rebalancing	1.103795	1.096811	
03.07.2018	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.022421	0.022201	
03.07.2018	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.029886	0.032033	
03.07.2018	iShares USD Corporate Bond UCITS ETF	Rebalancing	0.007062	0.006992	
03.07.2018	UBS ETF (CH) SMI	Rebalancing	0.031187	0.030872	
03.07.2018	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.017023	0.017297	
12.07.2018	SPDR Barclays Sterling Corporate Bond UCITS ETF	Delisting from SIX Stock Exchange and new listing on London Stock Exchange 12.07.2018	BB Symbol old UKCO SE	BB Symbol new UKCO LN	
12.07.2018	SPDR Barclays Sterling Corporate Bond UCITS ETF	Delisting from SIX Stock Exchange and new listing on London Stock Exchange 12.07.2018	Currency old CHF	Currency new GBP	
			Rebalancing on 07.08.2018	Amount old	Amount new
07.08.2018	Cash CHF	Rebalancing	1.096811	1.142467	
07.08.2018	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.022201	0.022910	
07.08.2018	iShares Core S&P 500 UCITS ETF	Rebalancing	0.002916	0.002849	
07.08.2018	Shs GBP iShares PLC - iShares Core GBP Corp Bond UCITS ETF	Buy	-	0.001329	
07.08.2018	SPDR Barclays Sterling Corporate Bond UCITS ETF	Sell	0.003060	-	
07.08.2018	UBS ETF (CH) SMI	Rebalancing	0.030872	0.029758	
			Rebalancing on 03.09.2018	Amount old	Amount new
03.09.2018	Cash CHF	Rebalancing	1.142467	1.116362	
03.09.2018	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.022910	0.022339	
03.09.2018	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.032033	0.032996	
03.09.2018	iShares Euro Corporate Bond Large Cap UCITS ETF	Rebalancing	0.004724	0.004821	
03.09.2018	iShares J.P. Morgan \$ Emerging Markets Bond UCITS ETF	Rebalancing	0.003613	0.003767	
03.09.2018	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.017660	0.018352	
			Rebalancing on 01.11.2018	Amount old	Amount new
01.11.2018	Cash CHF	Rebalancing	1.116362	1.147711	
01.11.2018	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.032996	0.033714	
01.11.2018	iShares J.P. Morgan \$ Emerging Markets Bond UCITS ETF	Rebalancing	0.003767	0.003662	
01.11.2018	UBS ETF (CH) SMI	Rebalancing	0.029758	0.029591	
01.11.2018	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.018352	0.018794	
			Rebalancing on 03.12.2018	Amount old	Amount new
03.12.2018	Cash CHF	Rebalancing	1.147711	1.186813	
03.12.2018	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.033714	0.032663	
03.12.2018	UBS ETF (CH) SMI	Rebalancing	0.029591	0.029414	
03.12.2018	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.018794	0.018947	
			Rebalancing on 11.01.2019	Amount old	Amount new
11.01.2019	Cash CHF	Rebalancing	1.186813	1.131720	
11.01.2019	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.022339	0.021916	
11.01.2019	iShares Core S&P 500 UCITS ETF	Rebalancing	0.002849	0.003010	

## Corporate Actions

Date	Underlying	Action		
11.01.2019	UBS ETF (CH) SMI	Rebalancing	0.029414	0.029810
11.01.2019	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.018947	0.019563
			Rebalancing on 04.02.2019	Amount old
04.02.2019	Cash CHF	Rebalancing	1.131720	1.136951
04.02.2019	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.021916	0.022477
04.02.2019	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.032663	0.031692
04.02.2019	iShares Core S&P 500 UCITS ETF	Rebalancing	0.003010	0.002905
04.02.2019	iShares USD Corporate Bond UCITS ETF	Rebalancing	0.007103	0.006935
04.02.2019	UBS ETF (CH) SMI	Rebalancing	0.029810	0.030023
			Rebalancing on 04.03.2019	Amount old
04.03.2019	Cash CHF	Rebalancing	1.136951	1.180119
04.03.2019	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.022477	0.022849
04.03.2019	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.031692	0.032436
04.03.2019	UBS ETF (CH) SMI	Rebalancing	0.030023	0.029359
04.03.2019	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.019661	0.018924
			Rebalancing on 04.04.2019	Amount old
04.04.2019	Cash CHF	Rebalancing	1.180119	1.159693
04.04.2019	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.022849	0.023072
04.04.2019	iShares Core S&P 500 UCITS ETF	Rebalancing	0.002905	0.002829
04.04.2019	iShares Euro Corporate Bond Large Cap UCITS ETF	Rebalancing	0.004843	0.004982
			Rebalancing on 02.05.2019	Amount old
02.05.2019	Cash CHF	Rebalancing	1.159693	1.172264
02.05.2019	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.023072	0.023428
02.05.2019	iShares J.P. Morgan \$ Emerging Markets Bond UCITS ETF	Rebalancing	0.003730	0.003742
02.05.2019	UBS ETF (CH) SMI	Rebalancing	0.029470	0.029073
			Rebalancing on 04.06.2019	Amount old
04.06.2019	Cash CHF	Rebalancing	1.172264	1.170394
04.06.2019	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.023428	0.023010
04.06.2019	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.032436	0.034466
04.06.2019	iShares Core S&P 500 UCITS ETF	Rebalancing	0.002829	0.002920
04.06.2019	UBS ETF (CH) SMI	Rebalancing	0.029073	0.028722
			Rebalancing on 02.07.2019	Amount old
02.07.2019	Cash CHF	Rebalancing	1.170394	0.490849
02.07.2019	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.023010	0.025069
02.07.2019	iShares Euro Corporate Bond Large Cap UCITS ETF	Rebalancing	0.004982	0.005584
02.07.2019	iShares III PLC - iShares Core MSCI Japan IMI UCITS ETF	Rebalancing	0.002829	0.003183
02.07.2019	iShares J.P. Morgan \$ Emerging Markets Bond UCITS ETF	Rebalancing	0.003756	0.004435
02.07.2019	iShares USD Corporate Bond UCITS ETF	Rebalancing	0.006991	0.008093
02.07.2019	Shs GBP iShares PLC - iShares Core GBP Corp Bond UCITS ETF	Rebalancing	0.001351	0.001541
02.07.2019	UBS ETF (CH) SMI	Rebalancing	0.028722	0.030004
			Rebalancing on 06.08.2019	Amount old
06.08.2019	Cash CHF	Rebalancing	0.490849	0.446431
06.08.2019	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.025069	0.024362
06.08.2019	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.034466	0.037153
06.08.2019	iShares USD Corporate Bond UCITS ETF	Rebalancing	0.008093	0.007837
06.08.2019	UBS ETF (CH) SMI	Rebalancing	0.030004	0.030495
06.08.2019	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.018924	0.020371
			Rebalancing on 03.09.2019	Amount old
03.09.2019	Cash CHF	Rebalancing	0.446431	0.457338
03.09.2019	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.024362	0.024463

## Corporate Actions

Date	Underlying	Action		
03.09.2019	UBS ETF (CH) SMI	Rebalancing	0.030495	0.030286
		Rebalancing on 01.10.2019	Amount old	Amount new
01.10.2019	Cash CHF	Rebalancing	0.457338	0.461321
01.10.2019	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.024463	0.024934
01.10.2019	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.037153	0.036420
01.10.2019	iShares Euro Corporate Bond Large Cap UCITS ETF	Rebalancing	0.005584	0.005658
01.10.2019	iShares J.P. Morgan \$ Emerging Markets Bond UCITS ETF	Rebalancing	0.004464	0.004480
01.10.2019	iShares USD Corporate Bond UCITS ETF	Rebalancing	0.007837	0.007894
01.10.2019	Shs GBP iShares PLC - iShares Core GBP Corp Bond UCITS ETF	Rebalancing	0.001541	0.001549
01.10.2019	UBS ETF (CH) SMI	Rebalancing	0.030286	0.030503
01.10.2019	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.020371	0.019537
		Rebalancing on 04.11.2019	Amount old	Amount new
04.11.2019	Cash CHF	Rebalancing	0.461321	0.503091
04.11.2019	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.024934	0.025740
04.11.2019	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.036420	0.034695
04.11.2019	iShares Core S&P 500 UCITS ETF	Rebalancing	0.002920	0.002841
04.11.2019	UBS ETF (CH) SMI	Rebalancing	0.030503	0.030030
		Rebalancing on 03.12.2019	Amount old	Amount new
03.12.2019	Cash CHF	Rebalancing	0.503091	0.473768
03.12.2019	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.034695	0.035763
		Rebalancing on 07.01.2020	Amount old	Amount new
07.01.2020	Cash CHF	Rebalancing	0.473768	0.476335
07.01.2020	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.025740	0.025950
07.01.2020	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.035763	0.034284
07.01.2020	iShares Euro Corporate Bond Large Cap UCITS ETF	Rebalancing	0.005658	0.005931
07.01.2020	iShares USD Corporate Bond UCITS ETF	Rebalancing	0.007894	0.008270
07.01.2020	UBS ETF (CH) SMI	Rebalancing	0.030030	0.029493
		Rebalancing on 04.02.2020	Amount old	Amount new
04.02.2020	Cash CHF	Rebalancing	0.476335	0.485372
04.02.2020	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.025950	0.026076
04.02.2020	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.034284	0.035099
04.02.2020	iShares Core S&P 500 UCITS ETF	Rebalancing	0.002841	0.002772
04.02.2020	iShares USD Corporate Bond UCITS ETF	Rebalancing	0.008270	0.008135
		Rebalancing on 03.03.2020	Amount old	Amount new
03.03.2020	Cash CHF	Rebalancing	0.485372	0.478196
03.03.2020	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.026076	0.024901
03.03.2020	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.035099	0.035891
03.03.2020	iShares Core S&P 500 UCITS ETF	Rebalancing	0.002772	0.002898
03.03.2020	iShares Euro Corporate Bond Large Cap UCITS ETF	Rebalancing	0.005931	0.005795
03.03.2020	iShares FTSE 100 UCITS ETF (Acc)	Rebalancing	0.000666	0.000810
03.03.2020	iShares USD Corporate Bond UCITS ETF	Rebalancing	0.008135	0.007757
03.03.2020	UBS ETF (CH) SMI	Rebalancing	0.029493	0.030178
03.03.2020	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.019622	0.020885
		Rebalancing on 02.04.2020	Amount old	Amount new
02.04.2020	Cash CHF	Rebalancing	0.478196	0.431310
02.04.2020	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.024901	0.023683
02.04.2020	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.035891	0.040110
02.04.2020	iShares Core S&P 500 UCITS ETF	Rebalancing	0.002898	0.003124
02.04.2020	iShares Euro Corporate Bond Large Cap UCITS ETF	Rebalancing	0.005795	0.005694
02.04.2020	iShares J.P. Morgan \$ Emerging Markets Bond UCITS ETF	Rebalancing	0.004554	0.004812

## Corporate Actions

Date	Underlying	Action		
02.04.2020	iShares USD Corporate Bond UCITS ETF	Rebalancing	0.007757	0.007570
02.04.2020	UBS ETF (CH) SMI	Rebalancing	0.030178	0.029663
02.04.2020	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.020885	0.023980
02.04.2020	UBS ETF (LU) MSCI Pacific (ex Japan) UCITS	Rebalancing	0.003008	0.003780
		Rebalancing on 05.05.2020	Amount old	Amount new
05.05.2020	Cash CHF	Rebalancing	0.431310	0.455724
05.05.2020	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.023683	0.024400
05.05.2020	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.040110	0.039081
05.05.2020	iShares Core S&P 500 UCITS ETF	Rebalancing	0.003124	0.002835
05.05.2020	Shs GBP iShares PLC - iShares Core GBP Corp Bond UCITS ETF	Rebalancing	0.001566	0.001477
05.05.2020	UBS ETF (CH) SMI	Rebalancing	0.029663	0.030022
		Rebalancing on 09.06.2020	Amount old	Amount new
09.06.2020	Cash CHF	Rebalancing	0.455724	0.505235
09.06.2020	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.024400	0.025619
09.06.2020	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.039081	0.037450
09.06.2020	iShares Core S&P 500 UCITS ETF	Rebalancing	0.002835	0.002765
09.06.2020	iShares USD Corporate Bond UCITS ETF	Rebalancing	0.007570	0.007820
09.06.2020	UBS ETF (CH) SMI	Rebalancing	0.030022	0.029670
09.06.2020	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.023980	0.021190
		Rebalancing on 02.07.2020	Amount old	Amount new
02.07.2020	Cash CHF	Rebalancing	0.505235	0.469615
02.07.2020	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.025619	0.025528
02.07.2020	iShares Core S&P 500 UCITS ETF	Rebalancing	0.002765	0.002835
02.07.2020	iShares Euro Corporate Bond Large Cap UCITS ETF	Rebalancing	0.005694	0.005936
02.07.2020	UBS ETF (CH) SMI	Rebalancing	0.029670	0.029555
02.07.2020	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.021190	0.021251
		Rebalancing on 04.08.2020	Amount old	Amount new
04.08.2020	Cash CHF	Rebalancing	0.469615	0.479286
04.08.2020	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.037450	0.036206
04.08.2020	UBS ETF (CH) SMI	Rebalancing	0.029555	0.029786
		Rebalancing on 02.09.2020	Amount old	Amount new
02.09.2020	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.025585	0.025993
02.09.2020	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.036206	0.035398
02.09.2020	iShares Core S&P 500 UCITS ETF	Rebalancing	0.002835	0.002675
02.09.2020	iShares USD Corporate Bond UCITS ETF	Rebalancing	0.007878	0.008164
		Rebalancing on 01.10.2020	Amount old	Amount new
01.10.2020	Cash CHF	Rebalancing	0.479286	0.509923
01.10.2020	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.025993	0.025686
		Rebalancing on 03.11.2020	Amount old	Amount new
03.11.2020	Cash CHF	Rebalancing	0.509923	0.476862
03.11.2020	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.025686	0.025465
03.11.2020	UBS ETF (CH) SMI	Rebalancing	0.029786	0.030605
		Rebalancing on 02.12.2020	Amount old	Amount new
02.12.2020	Cash CHF	Rebalancing	0.476862	0.429140
02.12.2020	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.025465	0.026389
02.12.2020	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.035398	0.034944
02.12.2020	UBS ETF (LU) EURO STOXX 50 UCITS	Rebalancing	0.021595	0.020779
		Rebalancing on 07.01.2021	Amount old	Amount new
07.01.2021	Cash CHF	Rebalancing	0.429140	0.448787
07.01.2021	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.026389	0.026686
07.01.2021	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.034944	0.033426



## Corporate Actions

<b>Date</b>	<b>Underlying</b>	<b>Action</b>		
07.01.2021	iShares J.P. Morgan \$ Emerging Markets Bond UCITS ETF	Rebalancing	0.004940	0.005208
07.01.2021	iShares USD Corporate Bond UCITS ETF	Rebalancing	0.008219	0.008786
07.01.2021	UBS ETF (CH) SMI	Rebalancing	0.030605	0.030034
07.01.2021	UBS ETF (LU) MSCI Pacific (ex Japan) UCITS	Rebalancing	0.003841	0.003302
		Rebalancing on 09.02.2021	Amount old	Amount new
09.02.2021	Cash CHF	Rebalancing	0.448787	0.457208
09.02.2021	iShares Core CHF Corporate Bond (CH) ETF	Rebalancing	0.026686	0.027266
09.02.2021	iShares Core MSCI Emerging Markets IMI UCITS ETF	Rebalancing	0.033426	0.031363
09.02.2021	iShares Core S&P 500 UCITS ETF	Rebalancing	0.002675	0.002563
09.02.2021	iShares Euro Corporate Bond Large Cap UCITS ETF	Rebalancing	0.005962	0.006108
09.02.2021	UBS ETF (CH) SMI	Rebalancing	0.030034	0.030293